



City of Morro Bay  
Water Reclamation Facility Project

## QUARTERLY PROGRESS STATUS REPORT TO FUNDING / REGULATORY AGENCIES (COMBINED REPORT)

REPORTING PERIOD  
July 1, 2021 through September 30, 2021





City of Morro Bay  
Water Reclamation Facility Project

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Clean Water State Revolving Fund (CWSRF) Planning Loan Agreement No. D16-01016

Water Infrastructure Finance and Innovation Act (WIFIA)  
Funding Agreement No. N17150CA (Water) / No. N17108CA (Wastewater)

CWSRF Funding Agreement No. (SWRCB0000000000D2001033)



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## Abbreviations

AA	average annual
AOP	advanced oxidation process
APCD	Air Pollution Control District
ASR	aquifer storage and recovery
B&V	Black & Veatch Corporation
BMP	best management practice
BNR	biological nutrient removal
BR	brine
Carollo	Carollo Engineers, Inc.
CCO	Contract Change Order
CDFW	California Department of Fish and Wildlife
City	City of Morro Bay
CL2AB	Class 2 Aggregate Base
CLSM	Controlled Low-Strength Material
CMU	concrete masonry unit
COVID-19	Coronavirus Disease 2019
CPM	critical path method
CT	contact time
CWSRF	Clean Water State Revolving Fund
DB	design-build
DDW	Division of Drinking Water
FBW	filter backwash
Filanc	J.R. Filanc Construction Company, Inc.
FIW	filter inlet water
FM	force main
FO	fiber optic
FRP	fiberglass reinforced plastic
GMP	guaranteed maximum price
GSI	GSI Water Solution, Inc.
HDPE	high-density polyethylene
HVAC	heating, ventilation, and air conditioning
IPR	indirect potable reuse
LF	linear foot
MBR	membrane bioreactor
MGD	million gallons per day
MTBM	Microtunnel Boring Machine

NEMA	National Electrical Manufacturers Association
NPDES	National Pollutant Discharge Elimination System
NTP	notice to proceed
O&M	operations and maintenance
OCI	Overland Contracting, Inc.
PG&E	Pacific Gas & Electric
PCO	potential change order
PEMB	Pre-Engineered Metal Building
POW	Plant Outfall Water
PLC	programmable logic controller
Orig.	original
PRW	plant recycled water
RFI	request for information
RFQ	request for quotation
RO	reverse osmosis
RWQCB	Regional Water Quality Control Board
SAFE	stormwater auxiliary filtration equipment
SCADA	supervisory control and data acquisition
SHPO	State Historical Preservation Officer
SHT	sludge holding tank
SLO	San Luis Obispo
SWRCB	State Water Resources Control Board
SWPPP	stormwater pollution prevention plan
USEPA	United States Environmental Protection Agency
USFWS	United States Fish and Wildlife Service
UV	ultraviolet
Vdc	volts, direct current
WIFIA	Water Infrastructure Finance and Innovation Act
WRF	water reclamation facility
WWR	wastewater raw
WWS	wastewater screened

## Section 1

# WATER RECLAMATION FACILITY (WRF)

### 1.1 Construction Progress Report – Reporting Period: July 1 to September 30, 2021

### 1.2 Project Summary

This quarterly progress report summarizes the project construction and addresses contract time, change orders, progress, key construction accomplishments, safety and security issues, construction activities, environmental and cultural monitoring, and Davis-Bacon labor compliance issues.

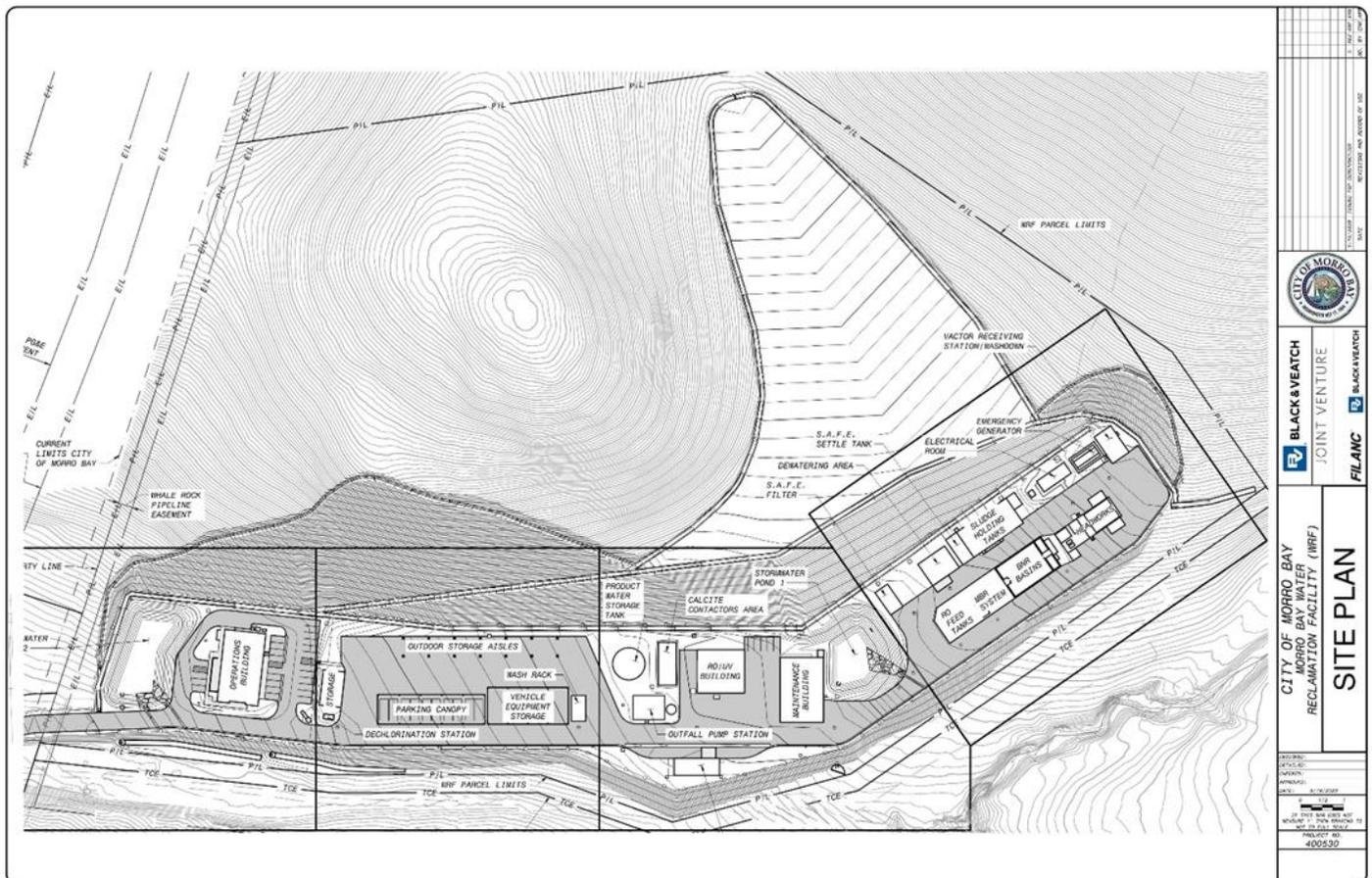
Table 1 WRF Project Summary

Item	Description
Public Agency Owner	City of Morro Bay (City)
Design-Build Joint Venture Entity	Overland Contracting, Inc. (OCI)
Design-Build General Contractor	J.R. Filanc Construction Company, Inc. (Filanc)
Design-Build Engineer of Record	Black & Veatch Corporation (B&V)
City's Program Management	Carollo Engineers, Inc. (Carollo)
City's Construction Management	Carollo Engineers / Mimiaga Engineering Group Inc.
Design-Build Contract Award	October 23, 2018
Design Phase Notice to Proceed (NTP)	November 5, 2018
Original (Orig.) Anticipated Construction NTP	April 29, 2019
Orig. Substantial Completion Date	August 5, 2021
Orig. Final Completion Date	October 1, 2021
Actual Executed Construction NTP	March 20, 2020
Orig. Construction Phase Duration	886 Calendar Days (Constr. NTP to Final Completion)
Construction Phase Time Extensions to Date	15 Calendar Days (through Amendment No. 6)
Revised Construction Phase Duration	901 Calendar Days (Constr. NTP to Final Completion)
Original / Current Substantial Completion	June 27, 2022 / July 12, 2022
Original / Current Final Completion Date	August 23, 2022 / September 7, 2022
Orig. Guaranteed Maximum Price (GMP)	\$67,234,512.00
Current Executed Amendments to Date	\$9,463,482.00 (through Amendment No. 6)
Current GMP	\$76,697,994.00 (through Amendment No. 6)
Approved Progress Payments to Date	\$48,906,508.82 (thru 9/30/2021, Payments 1 – 35)
Percent Complete – Cost (Contractor Invoiced)	63.8 % (\$48,906,508.82 / \$76,697,994.00)
Construction Calendar Days Elapsed	559 Calendar Days (3/20/2020 to 9/30/2021)
Percent Complete - Time (Schedule Elapsed)	62.0 % (559 days / 901 days)
Percent Construction Complete (Overall)	62.9 % (average of cost and time percent complete)

### 1.3 Project Scope of Work

- 0.85/0.97 million gallons per day (MGD) WRF Average Annual (AA).
- Influent Course Screens.
- Vortex Grit Removal Basins.
- Stormwater Auxiliary Filtration Equipment (SAFE) System (for high flow Equalization and Filtration).
- Fine Screens.
- Odor Control.
- Biological Nutrient Removal (BNR).
- Membrane Bioreactor (MBR).
- Sludge Holding Tank (SHT).
- Sludge Dewatering.
- Reverse Osmosis (RO) Filtration.
- Ultraviolet (UV)-Advanced Oxidation Process (AOP).
- Outfall Pump Station.
- Product Water Storage Tank.
- Indirect Potable Reuse (IPR) Pump Station.
- Operations Building.
- Maintenance Building.
- RO/UV-AOP Building.
- Electrical Building.
- City Vehicle Parking Canopy.
- Covered Outdoor Storage Aisles.
- Water/Collections Storage Shed.
- Water/Collections Equip. Canopy.
- Access Road and Site Improvements.
- Yard Piping and Site Work.
- Electrical Distribution Facilities.
- Emergency Standby Generator.
- Instrumentation and Controls.
- Utility Extensions into Site.

Figure 1 Morro Bay Water Reclamation Facility Site Plan



## 1.4 Construction Progress: July 1 to September 30, 2021

### 1.4.1 General and Administrative

- Contractor continues to maintain SWPPP Best Management Practices (BMPs).
- Contractor continues to adhere to pertinent Mitigation Measures stipulations.
- Contractor/subcontractors continue to comply with Davis-Bacon Act requirements.
- Several requests for public information were responded to during the reporting period.
- Contractor continues equipment submittals/procurements, subcontractor bidding, etc.:
  - Submittal processing is on-going - 450 items submitted and/or approved to date.
  - DB Team has processed 242 requests for information (RFIs) and 30 Design Clarifications to date.
- Contractor continues to prepare and submit critical path method (CPM) schedule updates monthly:
  - Contractor's September 2021 CPM Schedule Update indicates 12 days late in achieving both Substantial Completion and Final Acceptance, based on current contractual completion dates.

### 1.4.2 Area 10 - Sitework

- Contractor has completed major earthwork operations including filling, compacting, and rough grading the entire site (only exception is below noted and ongoing landslide remediation work).
- LANDSLIDE REMEDIATION: On January 28, 2021, the previous cut-slope landslide area reactivated during a major storm. The DB Team has performed extensive site investigation, developed remediation drawings, and submitted total costs in the amount of \$825,300 to address the known conditions. The remediation scope of work includes: removal and stockpiling of approximately 17,000 cy of loose material to the mapped slip plane, benching into competent native subgrade material, installing a subdrain system at each bench with drainage to surface, placement and compaction of 17,000+ cy of engineered fill, surface contours to enhance precipitation run-off, concrete v-ditches to divert run-off from the areas, and the installation of two inclinometer for future monitoring by the City (monitoring not included). Work will be completed in October 2021. Future deliverables shall include As-Built IFC Drawings of all site work revisions and a P.E. stamped Geotech Report amendment indicating approval of work and updated slope stability analysis.
- Contractor has essentially completed major yard piping installations.
- Contractor continues work on minor service piping, equipment drains, etc.
- Contractor commenced pressure testing of installed underground piping, including: 20" to 78" POW.
- Electrical Subcontractor completed the following site work installations:
  - Installing street light stanchions, fixtures, and precast foundations along plant access roads.
  - Continued PG&E conduit run along the WRF Access Road to the PMI-9 Vault on Teresa Road.

### 1.4.3 Area 20 - Headworks

- Continued installation of process piping, valves, appurtenances, handrails, etc., associated with the Course Screens and Grit Tank equipment skids (by Zima/Kusters) at the Headworks upper slab.
- Completed concrete placement and leak testing for SAFE Diversion Box (high flow diversion box).
- Completed setting Fine Screens (by Enviro-Care) and installing adjacent process piping, valves, etc.
- Taking delivery and setting in place the Odor Control Bio-Filter System (by ESC Environmental).

### 1.4.4 Area 30 - BNR / MBR Treatment

- Completed concrete placements for MBR cassette tank walls, and currently working on leak testing.
- Installing MBR equipment (by Suez) including pumps, blowers, control panels, and process piping.
- Installing WAS/SCUM wet well tank, pumps, process piping, valves, etc.
- Installing Recycled Water Pump Station skid mounted system and connecting process piping.

#### 1.4.5 Area 50 - RO / UV-AOP

- Completed RO/UV Building erection including exterior walls, roof, Electrical Room interior walls, etc.
- Electrical subcontractor installing conduits, boxes, panels, etc. in the Electrical Room.
- Continued installing Reverse Osmosis (RO) equipment (By H2O Innovation) including 3 each RO skids, RO Flush Pump skid and Tank, RO CIP Pump skid and tank, Neutralization Tank, etc.
- Completed coating concrete in containment areas for CIP, FLUSH, and Neutralization Tank(s).

#### 1.4.6 Area 60 - Product Water Facilities

- Continued installing Calcite Facility equipment including 2 each Calcite Filter Tanks, Flush Pump and Backwash Supply Tank, and much of the connecting process piping, valves, supports, etc.
- Continued installation of IPR/Outfall Pump Station equipment including pumps, piping, valves, etc.

#### 1.4.7 Area 70 - Residuals/Sludge Processing

- Completed concrete placement for Dewatering Facility slab-on-grade including all underslab piping and electrical. Also placed concrete containment curbs. Ready for Belt Press equipment delivery.
- Completed concrete placement for wet weather SAFE Filter slab-on-grade, including underslab piping and electrical. Also installed the cloth disk filter equipment (by Aqua-Aerobics) and associated process piping, valves, auxiliary equipment, pumps, etc.

#### 1.4.8 Area 80 - Electrical and Controls

- Completed concrete work for Electrical Building, and Generator Facility, slabs-on-grade.
- Emergency Generator has been delivered and set on slab. Installed access stairs and platforms.
- Completed Electrical Building erection including exterior walls, roof, etc.
- Electrical subcontractor installing conduits, boxes, panels, Motor Control Centers (1 & 2), etc.

#### 1.4.9 Area 90 - Chemical Storage and Feed

- Completed Chemical Facility structural steel columns and roof beams erection. The Chemical Facility canopy roof panels are back-ordered.
- Continued coating concrete in the various individual Chem Pump and Storage containment areas.

#### 1.4.10 Area 95 - Operations Building

- Building interior work is on-going, including: gyp board wall and ceiling installations, interior wall texturing and painting, interior electrical and lighting, heating, ventilation, and air conditioning (HVAC) equipment and ducting, fire protection systems, windows, doors, bathroom tile, etc.
- Building exterior work is essentially completed, including roof, cupolas, louvers, building trim, etc.

#### 1.4.11 Area 96 - Maintenance Building

- Building interior work is on-going, including: gyp board wall and ceilings, interior wall texturing and painting, interior electrical and lighting, HVAC, fire protection, windows, doors, roll-up doors, etc.
- Building exterior work is essentially completed, including roof, louvers, building trim, etc.

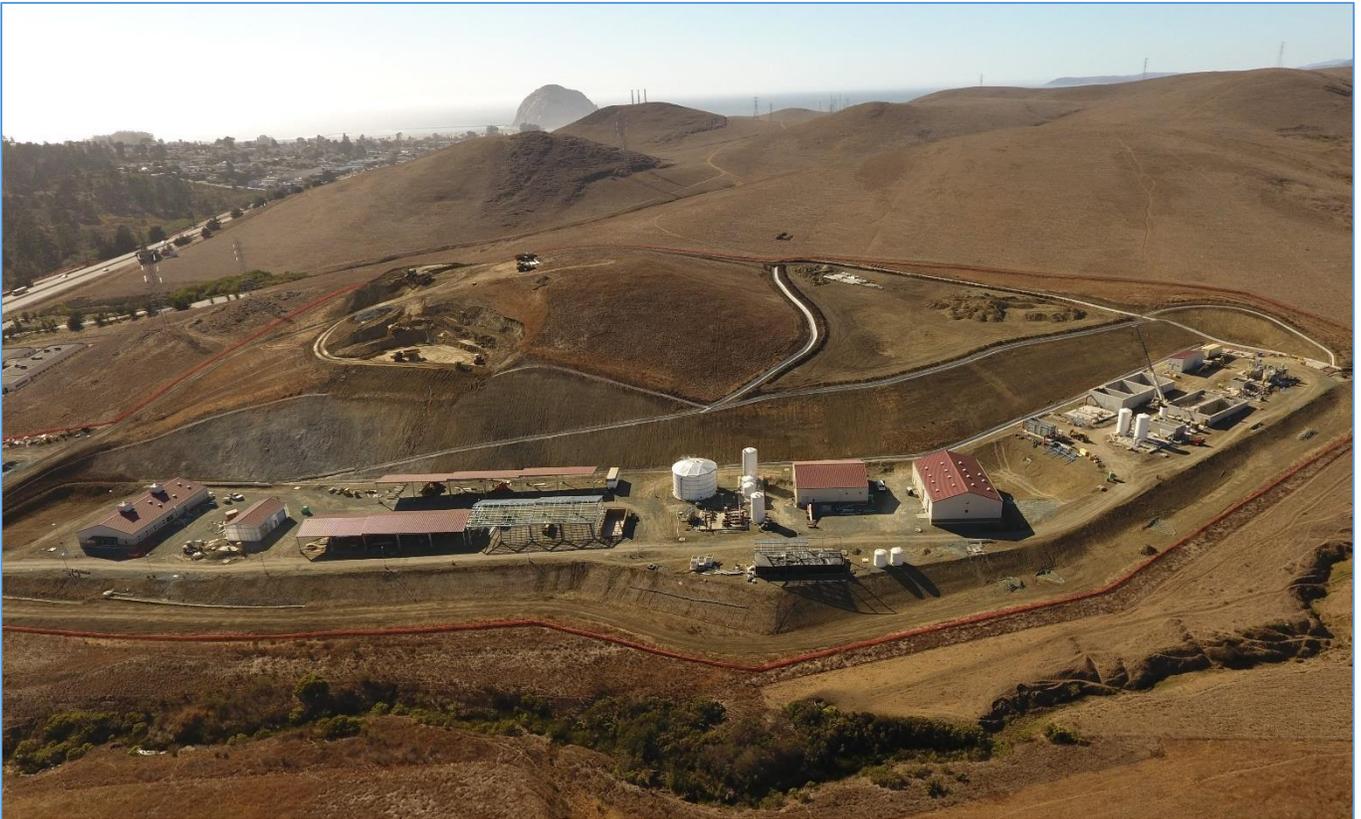
#### 1.4.12 Areas 14, 15, 16, 17 - City Yard Facilities (Canopies, Shed, Storage, etc.)

- Completed all building erections for WRF Parking Canopy and Outdoor Storage Aisles (PEMBs). The Storage Shed wall panels and Vehicle/Equipment Storage Canopy roof panels are back-ordered.
- Electrical subcontractor installing conduit, switches, fixtures, for canopy lighting and receptacles.

## 1.5 Project Photographs



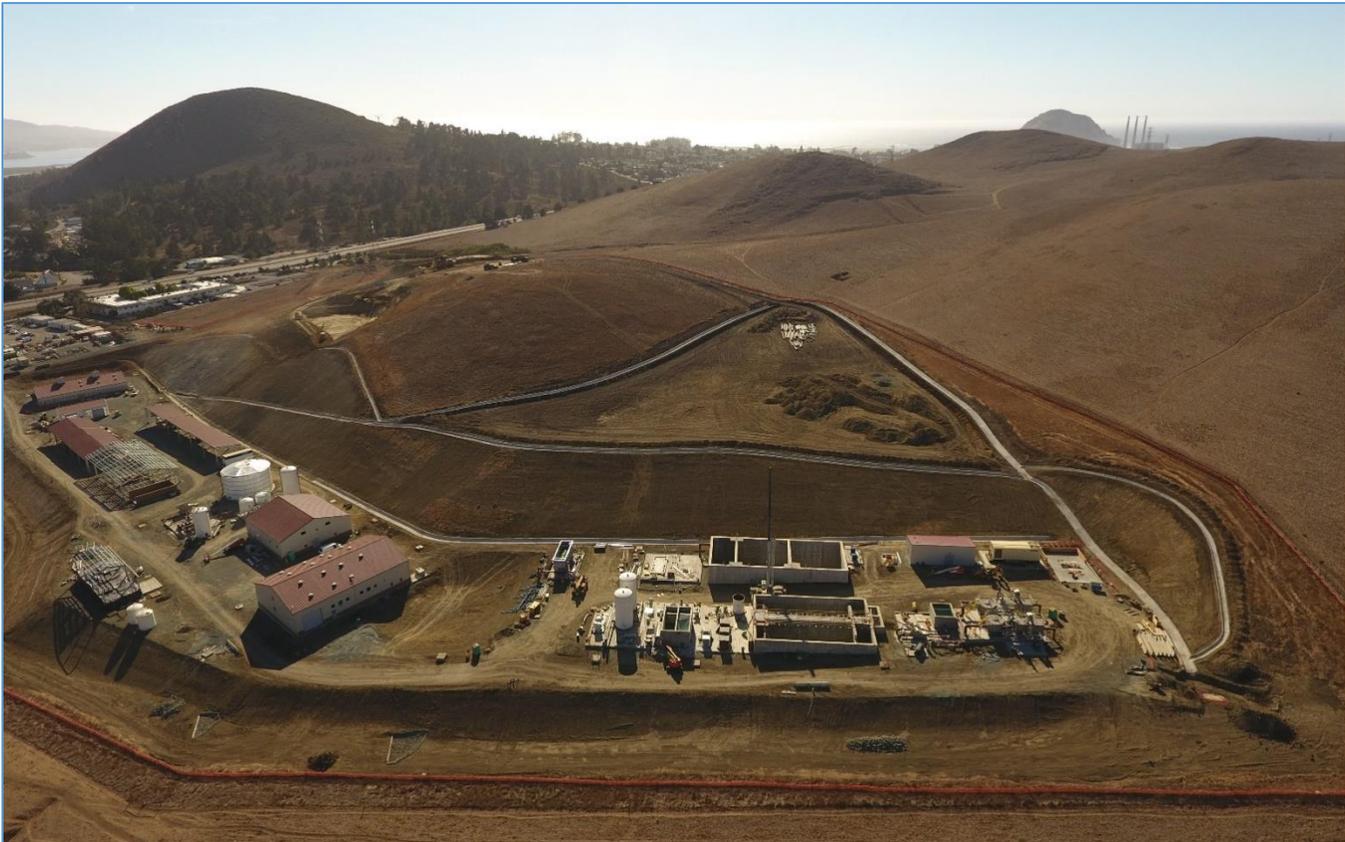
July 1, 2021 – Looking N.W. – Entire Site (note: Ops Bldg, Parking Canopies, Maint Bldg, RO/UV Bldg, etc.).



Sept. 30, 2021 – Looking N.W. – Entire Site (note: Ops Bldg, Maint Bldg, Water Tank, RO Bldg, Vehicle/Equip Canopies).



July 1, 2021 – Looking S.W. – North Site (note: MBR slab/tanks, Headworks, Elect. Bldg, BNR and SHT Basins).



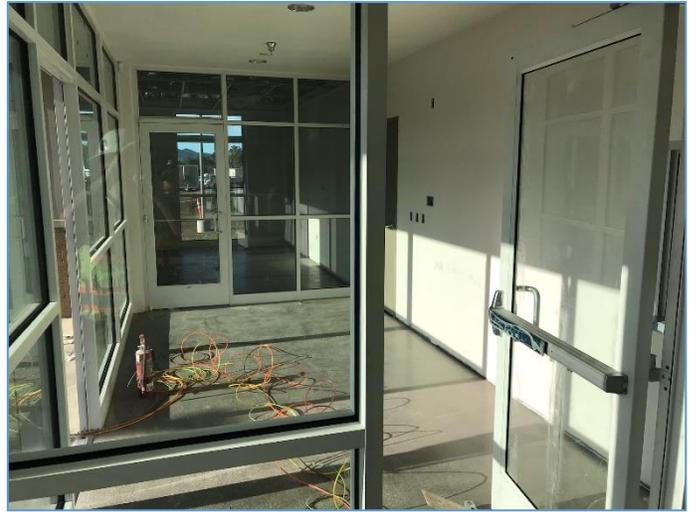
Sept. 30, 2021 – Looking S.W. – North Site (note: MBR slab and cassette tanks, Headworks facility, Elect. Facility slabs).



July 1, 2021 – (L to R): Wash Rack, Product Water Tank, IPR/Outfall Pump Station, Calcite Contactor, RO/UV Bldg, and Maint Bldg, Chemical Facility (lower frame of photo).



Sept. 30, 2021 – (L to R): Vehicle Equipment Storage Canopy, Wash Rack, Product Water Tank, IPR/Outfall Pump Station, Chem Facility (lower frame), Calcite Contactor, RO/UV Bldg, and Maint Bldg.



Sept. 30, 2021 – Operations Building Breakroom (left) and Operations Building Lobby (right) with security doors.



Sept. 22, 2021 – Landslide Remediation: removing loose material, benching into competent subgrade, installing subdrain.



Sept. 30, 2021 – Looking down at entire Site.

## 1.6 Change Order Summary

Table 2 WRF Guaranteed Maximum Price - Change Tracking Log

No.	Item	Approved Amount (\$)	Cal Days	Status
1	New Sodium Hypochlorite Feed for Plant Water	78,576	0	Amendment 1 Executed
2	Change Architecture of Operations Building	(21,623)	0	Amendment 1 Executed
4	Headworks Odor Control	18,422	0	Amendment 1 Executed
5	Remove Canopy and Monorail at MBR	(185,434)	0	Amendment 1 Executed
9	Consolidate Chemical Facilities	218,978	0	Amendment 1 Executed
10	Modify Chemical Piping	(15,856)	0	Amendment 1 Executed
15	Remove Solids Dumpster Lid	14,543	0	Amendment 1 Executed
16	Modify Outfall Pump Station	367,632	0	Amendment 3 Executed
17	Add SAFE Equalization Tank	504,116	0	Amendment 1 Executed
18	Instrumentation and Control Changes	75,266	0	Amendment 1 Executed
19	Reduce Size of the Product Water Tank	(129,681)	0	Amendment 3 Executed
21	Revise Maintenance Building Layout and Size	516,583	0	Amendment 1 Executed
22	Influent Piping and Metering	411,766	0	Amendment 1 Executed
23	Outdoor-Rated Blowers (BNR)	(58,210)	0	Amendment 1 Executed
24	Remove Bypass of Coarse Screens	(37,137)	0	Amendment 1 Executed
26	SAFE Diversion Box Additions	58,304	0	Amendment 1 Executed
28	Size Dewatering as a Building in the Future	30,983	0	Amendment 1 Executed
30	Match Blowers at SHT, BNR, and MBR System	17,426	0	Amendment 4 Executed
31	Coarse Screens and Grit Basins Stairs	52,870	0	Amendment 1 Executed
32	Sulfuric Acid System	315,652	0	Amendment 3 Executed
37	PLC/SCADA Software Uniformity	201,577	0	Amendment 3 Executed
38	IPR Product Water Tank Bypass	(26,087)	0	Amendment 1 Executed
39	NTP Delay	1,220,532	0	Amendment 3 Executed
40	Headworks Valve Automation	249,946	0	Amendment 3 Executed
41	Perimeter Barbed Wire Fence	79,935	8	Amendments 3&4 Executed
42	UV/AOP System Modifications	(33,481)	0	Amendment 3 Executed
44	Tank Access Improvements	210,327	0	Amendment 3 Executed
45	Maint. Building Ceiling and Auto Roll-Up Door	21,009	0	Amendment 3 Executed
46	Curbed Washdown Areas	76,250	0	Amendment 3 Executed
47	Changes to Building Furnishings and Equipment	85,194	0	Amendment 3 Executed
50	Water/Sewer Supply Shed Revisions	13,142	0	Amendment 3 Executed
52	Analyzer Relocation and Enclosures	76,555	0	Amendment 3 Executed
55	Notice of Dispute - PG&E Temporary Power	13,163	0	Amendment 3 Executed
56	Impacts of Water Quality Changes	282,420	0	Amendment 3 Executed
57	Soil Lateral Earth Pressure	116,329	0	Amendment 3 Executed

No.	Item	Approved Amount (\$)	Cal Days	Status
58	Permanent Exclusion Fencing	855,991	0	Amendment 3 Executed
59	Increased Escalation Costs	1,232,677	0	Amendment 3 Executed
60	Weather Delay Impacts	0.00	0	Amendment 6 Negotiation
61	Potential Change Order (PCO) Design Impacts	158,172	0	Amendment 3 Executed
62	Conduit Alternative Design	(268,400)	0	Amendment 3 Executed
64	Reduce Performance Period	(35,450)	0	Amendment 3 Executed
65	Davis Bacon Wage Increases	63,937	0	Amendment 2 Executed
66	Caltrans Intersection Improvements	(21,893)	0	Amendment 3 Executed
67	BNR System Modifications	742,405	0	Amendment 3 Executed
68	SAFE Equalization Settle Tank Drain Piping	62,215	0	Amendment 3 Executed
69	Third Party Testing and Inspection	100,000	0	Amendment 3 Executed
71	CDFW Restrictions (Direct Costs & Inefficiencies)	254,443	0	Amendment 4 Executed
72	Owner Trailer Utility Hook Ups	19,593	0	Amendment 4 Executed
73	Main Gates in Perimeter Fence	27,031	0	Amendment 4 Executed
74	Parking Canopy Electrical Receptacles	42,346	0	Amendment 4 Executed
75	Security Windows at Admin Building	11,079	0	Amendment 4 Executed
76	Additional Sodium Bisulfite Pump	58,243	0	Amendment 4 Executed
77	Covid-19 Impacts	125,000	0	Amendment 6 Executed
78	Soil Slip Differing Site Conditions	280,013	0	Amendment 4 Executed
79	Water/Sewer Shed Revisions (Ref. PCO 50)	10,847	0	Amendment 4 Executed
82	PLC/SCADA Uniformity Complete (Ref. PCO 37)	108,887	0	Amendment 4 Executed
84	Alternate Red Legged Frog Barrier (Ref. PCO 58)	(468,768)	0	Amendment 5 Executed
86	Pothole Existing Water Valve in Teresa Road	5,189	0	Amendment 4 Executed
87	Modify Conduit Design Scope (Ref. PCO 62)	272,822	0	Amendment 5 Executed
88	Dead-Front Control Panels	37,774	0	Amendment 6 Executed
89	Add SCADA Managed IPR Off-Spec Diversion	0.00	0	Amendment 6 Negotiation
90	24Vdc Digital Output Circuits	25,689	0	Amendment 6 Executed
91	Equipment Color (Tnemecc 32GR Light Gray)	12,500	0	Amendment 6 Executed
92	West Cut-Slope Soil Slip Reactivation (2021)	825,300	0	Amendment 6 Executed
93	NEMA 4X Electrical Enclosures	40,000	0	Amendment 6 Executed
94	Security System Revisions	25,659	0	Amendment 6 Executed
96	January 2021 Storm Event (1-26 thru 1-29)	40,195	7	Amendment 6 Executed
	<b>TOTAL</b>	<b>\$9,463,365</b>	<b>15</b>	

No.	Item	Approved Amount (\$)	Cal Days	Status
<b>Remaining Open and/or Pending Items</b>				
95	1.5 Acre Riparian Enhancement Implementation			Cover w/ Environ. Allowance
98	Material Testing and Special Inspections			Tracking extra costs
99	Relocate TV Cable Conduit in Conflict with PG&E			Minor costs expected
100	Add Thin-Client Licenses and Lab Work Station			\$17K amount pending
101	COVID-19 Related Material Cost Escalation Claim			\$30K claim to date pending
102	City Requested SCADA Screen Revisions			City is considering revisions
103	SRF Reimbursement Request Requirements			Pay App. reformatting costs

Table 3 WRF Change Order Summary and Current Status

Amendment No. (Status)	Amount (\$)
Amendment No. 1 (Executed)	1,636,060
Amendment No. 2 (Executed)	63,937
Amendment No. 3 (Executed)	5,992,218
Amendment No. 4 (Executed)	835,097
Amendment No. 5 (Executed)	(195,945)
Amendment No. 6 (Executed)	1,132,117
<b>Total (City Council Approved)</b>	<b>9,463,482</b>

### 1.7 Problems Encountered / Solutions / Status

- **West Cut Slope Soil Slip (Re-Activation):** The DB Team’s proposed landslide remediation work scope and cost has been approved by City Council and is currently being undertaken as noted elsewhere herein. The work is estimated to be completed in October 2021. ITEM NOT RESOLVED.
- **PG&E Easement Concerns:** PG&E previously had determined that existing easement rights on Teresa Road (private road) and the WRF Access Road are ambiguous as to allowing PG&E facilities. The City is currently working to resolve/clarify easement issues. ITEM NOT RESOLVED.
- **SoCal Gas Easement Concerns:** SoCal Gas has advised the City that existing easement rights on Teresa Road (private road) and the WRF Access Road are unacceptable to SoCal Gas. The City is currently working to resolve/clarify easement issues. ITEM NOT RESOLVED.
- **Equipment Long Term Storage:** The DB Team continues to implement project-wide measures to store delivered process and electrical equipment in accordance with operations and maintenance (O&M) manual long-term storage requirements. ITEM RESOLVED.
- **Covid-19 Related Material Shortages and Equipment Delivery Delays:** The DB Team has notified the City of current and on-going project cost and schedule impacts caused by the global supply chain disruptions resulting from the Covid-19 pandemic, quarantines, and other related occurrences. The Contractor is currently tracking several minor potential costs impacts from these disruptions, but there is potential for future worsening of the current situation. The City, Program Manager, and DB Team are tracking these actual and potential impacts. ITEM NOT RESOLVED.

## Section 2

# CONVEYANCE FACILITIES

### 2.1 Construction Progress Report – Reporting Period: July 1 to September 30, 2021

This quarterly progress report summarizes the project construction and addresses contract time, change orders, progress, key construction accomplishments, safety and security issues, construction activities, environmental and cultural monitoring, and Davis-Bacon labor compliance issues.

### 2.2 Project Summary

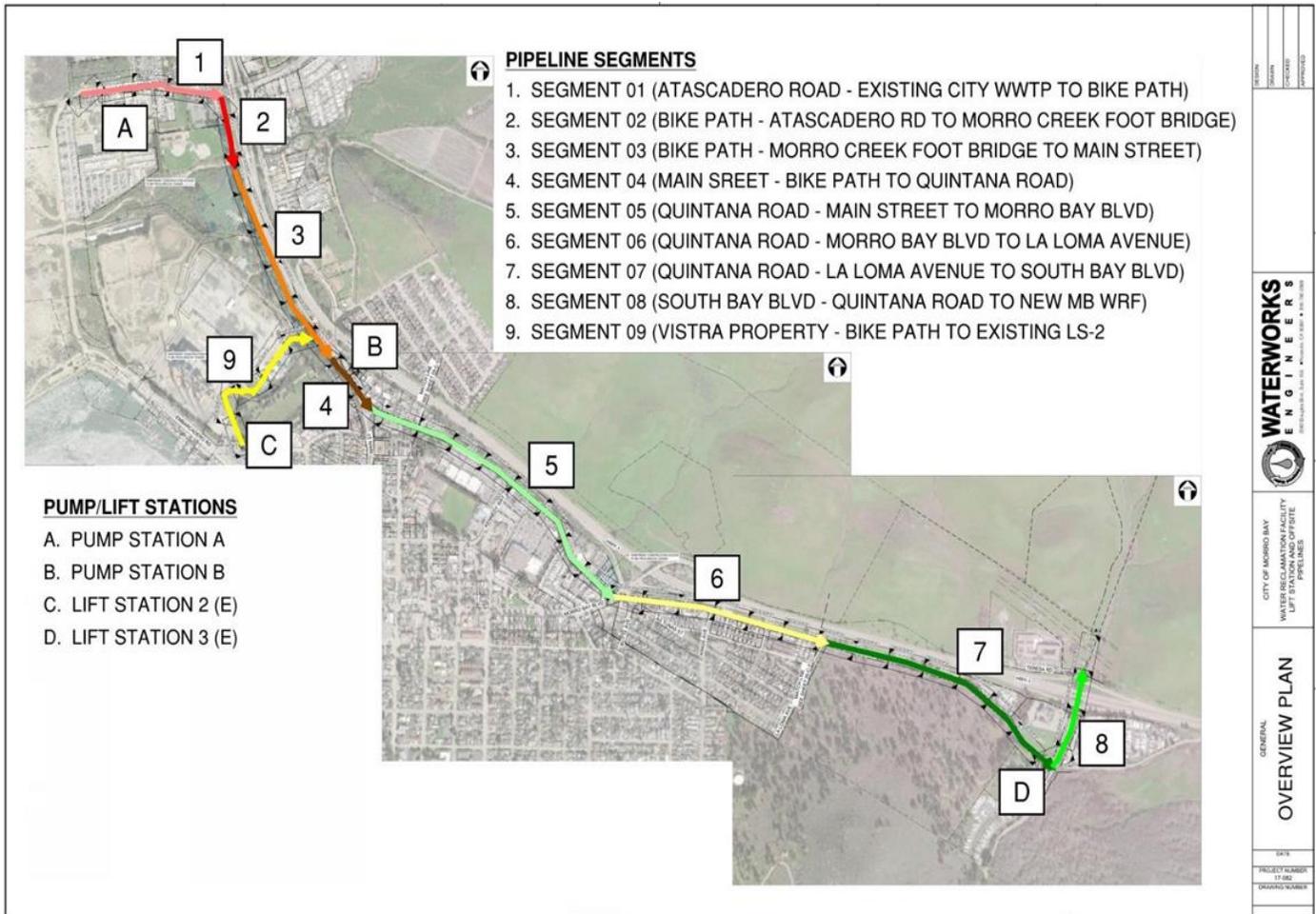
Table 4 Conveyance Facilities Project Summary

Item	Description
Public Agency Owner	City of Morro Bay
General Contractor	Anvil Builders Inc.
Design Engineer of Record	Waterworks Engineers, LLC.
City's Program Management	Carollo Engineers, Inc.
City's Construction Management	Carollo Engineers / Mimiaga Engineering Group Inc.
Advertisement for Bids Date	June 16, 2020
Prebid Conference Date	July 7, 2020
Number of Bidding Amendments Issued	5 Amendments (Issued between 6/18/20 and 8/5/20)
Bid Opening Date	August 12, 2020
Contract Award by City Council	November 10, 2020
Executed Construction NTP	December 14, 2020
Orig. Construction Phase Duration	390 Calendar Days (to Substantial Completion)
Orig. Construction and Closeout Duration	435 Calendar Days (to Final Acceptance)
Construction Phase Time Extensions	0 Calendar Days (No Change Orders to Date)
Revised Construction Phase Duration	390 Calendar Days (to Substantial Completion)
Orig. Substantial Completion Date	January 8, 2022 (NTP+390 Cal. Days)
Current Substantial Completion Date	January 8, 2022
Orig. Final Acceptance Date	February 22, 2022 (NTP+435 Cal. Days)
Current Final Acceptance Date	February 22, 2022
Orig. Contract Amount	\$31,493,675.00
Current Executed Change Orders	\$0.00
Current Contract Amount	\$31,493,675.00
Approved Progress Payments to Date	\$13,573,602.68 (thru 9/30/21 – Pay Estimate #8)
Percent Complete – Cost (Contractor Invoiced)	43.1 % (\$13,573,602.68 / \$31,493,675)
Construction Calendar Days Elapsed	290 Calendar Days (12/14/2020 to 9/30/2021)
Percent Complete - Time (Schedule Elapsed)	66.7 % (290 days / 435 days)
Percent Construction Complete (Overall)	54.9 % (average of cost & time percent completes)

### 2.3 Project Scope of Work

- New Sewer Pump Station A.
- New Sewer Pump Station B.
- Connection to Existing Lift Station 2.
- Connection to Existing Lift Station 3.
- Dual Sewer Force Main (< 3 miles).
- Brine (Outfall) Pipeline (< 3 miles).
- Indirect Potable Reuse Pipeline (> 2 miles).
- Fiber Optic Conduit & Cable (> 3 miles).
- 60-inch Microtunnel trenchless crossing (310-linear feet [LF]).
- 60-inch Auger Bore and Jack trenchless crossing (145-LF).
- Utility Pipe Bridge and Abutments (115-LF).
- Electrical Distribution Facilities.
- Emergency Standby Generators.
- Instrumentation and Controls.

Figure 2 Morro Bay Conveyance Facilities Overview Plan



## 2.4 Construction Progress: July 1 to September 30, 2021

### 2.4.1 General and Administrative

- Anvil continues to maintain their project field office at 853 Quintana Road (near Roundabout).
- Contractor continues to maintain SWPPP BMPs.
- Contractor continues to adhere to pertinent Mitigation Measures stipulations.
- Contractor/subcontractors continue to comply with Davis-Bacon Act requirements.
- Several requests for public information were responded to during the reporting period.
- Contractor continues equipment submittals and procurement activities.
  - Submittal processing is on-going - 228 items submitted and/or approved to date.
  - RFI Processing is on-going - 88 items submitted and/or resolved to date.
- Contractor's submission of CPM schedule updates is tardy and past due. Contractor's Aug./Sept. CPM Schedule Updates are pending. Contractor has committed to providing past due updates asap.
  - Contractor and City have completed negotiations and tentatively agreed upon a 40 calendar day time extension for the SHPO ground disturbance moratorium from 02/16/2021 to 03/16/2021. Upon approval by City Council, the revised Final Acceptance Date will be 04/03/2022.
  - Based on the Construction Manager's current rough/informal estimate, Substantial Completion may be expected in late Spring 2022, and Final Acceptance in early Summer of 2022.
  - A ½ day "Partnering Workshop" and "Schedule Recovery Meeting" is planned for 10/21/2021.
- The City continued its public relations/outreach effort including:
  - Monthly virtual meetings with stakeholders and affected business owners.
  - Periodic press releases for general information or for specific planned work.
  - Interactive City website with project information, digital documents library, etc.
  - All public contacts are promptly responded to and logged by the Program Manager.
  - Informational door hangers are placed 7 days, then again 24 hours, in advance of work activities.

### 2.4.2 Segment 1 – Atascadero Road (Existing City Wastewater Treatment Plant to Bike Path)

- Pump Station A is located along this segment; there was no trenching work in street this period.
- Contractor continues to store heavy equipment and materials on City property along Atascadero Road, including HDPE piping and the pre-fabricated utility bridge for crossing Morro Creek.

### 2.4.3 Segment 2 – Bike Path (Atascadero Road to Morro Creek Foot Bridge)

- Contractor has closed the Bike Path in this segment; there was no trenching activity this period.

### 2.4.4 Segment 3 – Bike Path (Morro Creek Foot Bridge to Main Street)

- Contractor has closed the Bike Path in this segment; there was no trenching activity this period.
- Contractor has installed a temporary by-pass above-grade 12" Waterline over this entire segment.
- Contractor has installed sheet pile shoring for both the jacking and receiving pits for the planned trenchless construction crossing the Willow Camp Creek Jurisdictional Wetlands. Contractor will install dewatering systems and mobilize their Jack & Bore subcontractor in late October 2021.
- Contractor continues using assigned Staging Area on Vistra property (using Bike Path for access).

### 2.4.5 Segment 4 – Main Street (Bike Path to Quintana Road)

- Pump Station B is located along this segment; there was no trenching work in street this period.

#### 2.4.6 Segment 5 – Quintana Road (Main Street to Morro Bay Blvd)

- Completed Joint Trench installation, backfill, paving from Sta 64+28 to near Roundabout. During this period, Contractor completed the Joint Trench from approx Sta. 71 to approx Sta. 94.
  - NOTE: Throughout this report “Joint Trench” refers to FM1 & FM2 (wastewater force mains), BR (brine/outfall line), FO (fiber optic conduit), and in some locations IPR (Potable) in casing.
- Contractor continued partial road closures in this segment during the reporting period.
- Contractor has moved back to Sta 64+28 and will start trenching north toward Pump Station B.

#### 2.4.7 Segment 6 – Quintana Road (Morro Bay Blvd to La Loma Avenue)

- Contractor has completed the Microtunnel crossing below the Morro Bay Blvd Roundabout.
  - MTBM subcontractor is currently demobilizing and removing equipment from site.
  - MTBM was stopped twice near Sta. 98+50, based on reports of possible obstructions from the MTBM subcontractor. Two separate 23-ft deep recovery shafts were excavated, but no obstruction was found (all excavation activities near the cutting-head were closely monitored by engineers and staff representing both the City and Anvil, all parties agree no obstruction was found). Regardless, MTBM subcontractor intends to claim impacts from 2 months downtime.
- Contractor continued partial road closures in this segment during the reporting period.
- Contractor continues Joint Trench installation, backfill, etc. from Sta. 113 to Sta 122 (approx).
- Contractor maintaining temporary above-grade sewer by-pass pipe along this segment.

#### 2.4.8 Segment 7 – Quintana Road (La Loma Avenue to South Bay Blvd)

- Completed all Joint Trench installations, backfill, paving, etc., from Sta 122 to Sta 150 (approx).
- Completed 10-inch Waterline Relocation, backfill, etc. from Sta 121+50 to Sta 144+00 (approx).
- Contractor continued partial road closures in this segment during the reporting period.
- Contractor maintaining temporary above-grade sewer and water by-pass pipes along this segment.

#### 2.4.9 Segment 8 – South Bay Blvd (Quintana Road to New MB WRF)

- No trenching or potholing activities.

#### 2.4.10 Segment 9 – Vistra Property (Bike Path to Existing Lift Station 2)

- No trenching or potholing activities.

#### 2.4.11 New Pump Station A

- Contractor struggled to maintain dewatering drawdown for various reasons through July/August.
- Contractor corrected dewatering systems and achieved Geotech approval of structure subgrade.
- Contractor set forms, installed rebar, and placed concrete for the wet well slab-on-grade.

#### 2.4.12 New Pump Station B

- Contractor completed installing 24” SS and 8” SS (gravity sewers) and the PS-B Junction Manhole.
- Contractor over-excavated, recompacted, and placed CL2AB subgrade for the Electrical Building.
- Electricians installed underground conduits including Electrical Building underslab conduit/stub-ups.

#### 2.4.13 Existing Lift Station 2

- No activities.

#### 2.4.14 Existing Lift Station 3

- Contractor maintaining temporary above-grade sewer by-pass pipe from Lift Station 3.

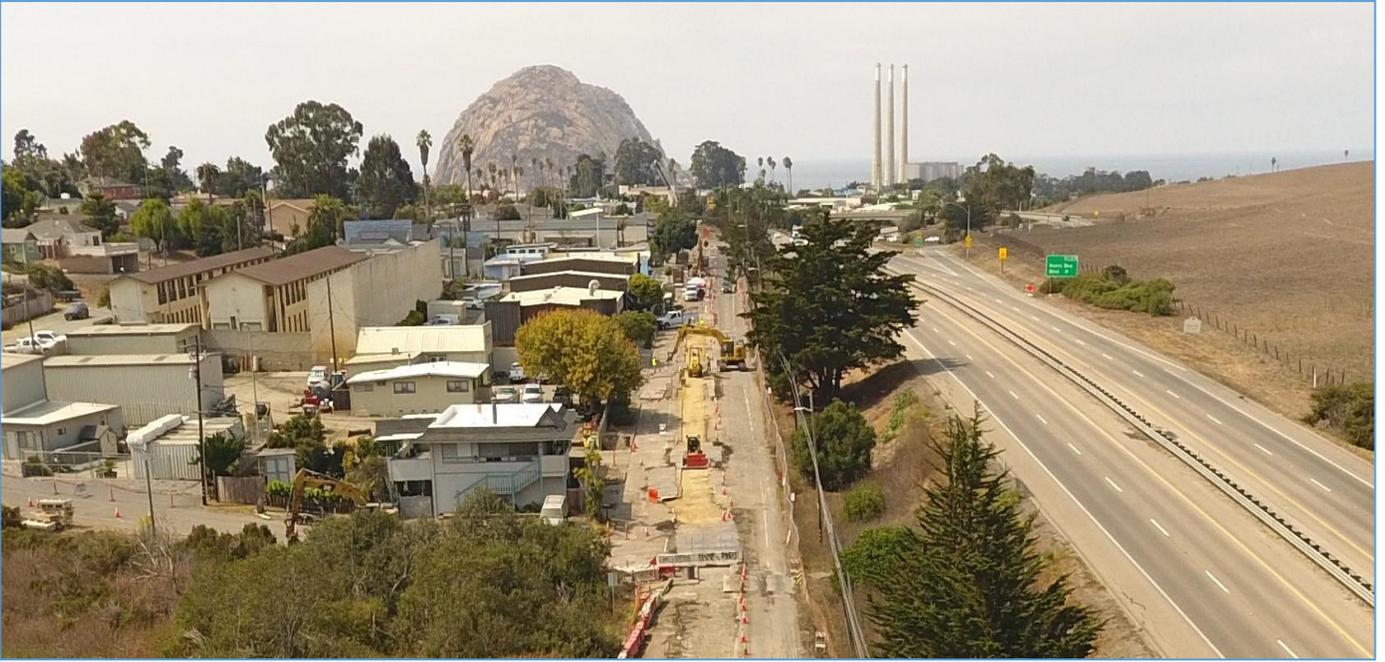
## 2.5 Project Photographs



Sept. 28, 2021 – Morro Bay Blvd Roundabout Microtunnel (removing MTBM from Receiving Pit with Bragg Crane)



July 21, 2021 – Segment 5 (Quintana - Main to Morro Bay) – Joint Trench piping, shoring, and CLSM placement



Sept. 27, 2021 – Segment 6 (Quintana – Morro Bay Blvd to La Loma) – Joint Trench installation on-going



Sept. 27, 2021 – Segment 7 (Quintana – La Loma to South Bay) – Waterline Relocation trench – excavation on-going



Sept. 28, 2021 – Pump Station A (sheet pile shoring, dewatered excavation, wet well concrete work – in shadow)



Sept. 27, 2021 – Pump Station B (Electrical Building underslab conduit and stub-up installations on going)

## 2.6 Change Order Summary

Table 5 Conveyance Facilities Pending Change Orders

No.	Item	Approved Amount (\$)	Cal. Days	Status
1	SHPO Work Suspension	443,000	40	Amount Agreed, CCO 1 Pending
2	Add 2 Each 10-inch Valves & 10-inch Tee	23,498	0	Amount Agreed, CCO 1 Pending
3	PS-B MAS-Relay Module per DC-01	13,477	0	Amount Agreed, CCO 1 Pending
4	PS-A & PS-B Conduit Changes per DC-02	6,436	0	Amount Agreed, CCO 1 Pending
5	Pump Sta. A NPDES Dewatering Permit			Tracking Cost/Time Impacts
6	Add Atascadero Gravity Sewer Pipeline			City Preparing RFQ Document
7	Water Relocation Conflict at Sta 71+00	131,096	0	Amount Agreed, CCO 1 Pending
8	Additional Project Signage Costs			Tracking Cost/Time Impacts
9	SoCal Gas Delays and Disruptions			Tracking Cost/Time Impacts
10	Reroute Joint Trench for DDW Clearance			Tracking Cost/Time Impacts
11	Reroute IPR & Water at Sta. 144 Culvert			Tracking Cost/Time Impacts
12	CA-SLO-2232H Work Interruptions	56,978	0	Amount Agreed, CCO 1 Pending
13	CA-SLO-16 Work Revisions			SHPO Work Restriction Zone
14	Notice of Microtunneling Obstructions			Anvil Notice of Potential Change
15	Fiber Optic Conduit at Sta 88 Not Found			Anvil Notice of Potential Change
16	Reroute Joint Trench at State Water Line			Tracking Cost/Time Impacts
17	Add Tracer Wire to IPR Lines			City Preparing RFQ Document
18	Add and Delete Pipe Joint Fittings			Tracking Cost/Time Impacts
19	Remove City's Existing Desal Media Tank			Anvil Preparing Cost Estimate
20	Pothole Utilities for Pilot Well Layout			Tracking Cost/Time Impacts
21	Assist City with Sewer Line Repair			Anvil Preparing Cost Estimate
22	6-Inch Waterline Relocation at PS-A			Anvil Notice of Potential Change
23	Miscellaneous Unforeseen Utility Work			Anvil Notice of Potential Change
24	PS-A and PS-B Generators Storage Cost			Anvil Notice of Potential Change
25	Resin and Steel Vendor Price Increases			Anvil Notice of Potential Change
26	Relocate 12" RO Waterline at Sta 87+00			Tracking Cost/Time Impacts
<b>TOTAL</b>		<b>\$674,485</b>	<b>40 days</b>	<b>Terms Agreed, CCO 1 Pending</b>

Table 6 Conveyance Facilities Executed Change Orders

Change Order No. (Status)	Amount (\$)
None Executed to Date	0.00
<b>Total (City Council Approved)</b>	<b>\$ 0.00</b>

## 2.7 Problems Encountered / Solutions / Status

- **SHPO Delay – PCO #1:** Contract work by Anvil was suspended by directive from the City between 02/16/2021 and 03/16/2021 due to lack of authorization to proceed from SHPO. The City and Contractor have negotiated a cost and time settlement as noted herein above. ITEM RESOLVED.
- **Pump Station A Dewatering Permit – PCO #5:** Contractor and City have come to agreement on the added scope and on-going costs to sample and test dewatering discharge as mandated by the SWRCB permit issued to the City. The extra cost will be included in a future CCO. ITEM RESOLVED.
- **Atascadero Gravity Sewer Pipeline Addition – PCO #6:** The City is interested in adding a segment of new gravity sewer on Atascadero Road to the project scope. This proposed work is part of a separate City capital improvements effort, and would not be paid for under current project funding. The Contractor is currently preparing a quote for the material costs only. ITEM NOT RESOLVED.
- **Reroute Quintana Joint Trench for DDW Clearances - PCO #10:** The new Joint Trench between 62+00 and 64+28 needs to be rerouted due to DDW clearance requirements. Revised design drawings were issued to the Contractor. The associated extra costs will be tracked on a Time and Materials basis and will be included in a future CCO. ITEM RESOLVED.
- **Archaeological Site CA-SLO-16 Work Revisions – PCO #13:** The City has proposed and Caltrans has approved work revisions at CA-SLO-16, including adding embankment fill to raise grade, and installing the new pipelines just below added fill to not disturb CA-SLO-16. A required site investigation by Far Western Archeologist has occurred and the City has received input from associated local tribal groups. The necessary documents were submitted to SHPO on 9/23/21, and the required 30-day SHPO response should be received by 10/23/21. ITEM NOT RESOLVED.
- **SLO County APCD Determination – PCO #28:** The City has received APCD notice of non-compliance for Pump Station A and Pump Station B emergency standby generators. In particular the 1000 hp generator for Pump Station B is non-compliant at Tier 2, due to changes in regulations occurring after project bidding but prior to APCD issuing the Authority to Construct Permit. The City's current approach is to (1) perform the mandated Health Risk Assessments at both pump stations, and (2) subsequently plan for and implement whatever Best Available Control Technology (BACT) is necessary to achieve the mandated Tier 4 compliance level. ITEM NOT RESOLVED
- **MTBM Obstruction Claim – PCO #14:** The City has received from Anvil's MTBM subcontractor (Vadnais), two pieces of concrete debris that Vadnais staff verbally stated were found in the MTBM recovery shafts. The City has requested that Anvil/Vadnais clarify in writing the specific date, time, and location where the items were found. During all recovery shaft investigations, excavating activities near the cutting-head were monitored by City and Anvil representatives, including Geotech Engineers. All witnessing parties have agreed that no obstruction, as defined by the Contract documents, was found in either recovery shaft. Once Vadnais has gone on record clarifying the concrete debris origins, the City will have the concrete pieces cored and strength tested for the record. The City, Anvil, and Vadnais have agreed to meet in October 2021. ITEM NOT RESOLVED.

## Section 3

# RECYCLED WATER FACILITIES PROJECT

### 3.1 Pre-Construction Progress Report – Reporting Period: July 1 to September 30, 2021

This quarterly progress report summarizes the project construction and addresses contract time, change orders, progress, key construction accomplishments, safety and security issues, construction activities, environmental and cultural monitoring, and Davis-Bacon labor compliance issues.

### 3.2 Pre-Construction Project Summary

Table 7 Recycled Water Facilities Project Summary

Item	Description
Public Agency Owner	City of Morro Bay
General Contractor	Not known
Pre-Design Hydrogeological Consultant	GSI Water Solution, Inc. (GSI)
Design Engineer of Record	TBD
City's Program Management	Carollo
City's Construction Management	Carollo
Design Percent Complete	0 %
Advertisement for Bids Date	NA
Prebid Conference Date	NA
Number of Amendments Issued	NA
Bid Opening Date	NA
Engineers Estimate of Cost	NA
Executed Construction NTP	Not known
Orig. Substantial Completion Date	Not known
Orig. Final Completion Date	Not known
Orig. Construction Phase Duration	Not known
Construction Phase Time Extensions	NA
Revised Construction Phase Duration	Not known
Current Substantial Completion Date	Not known
Current Final Completion Date	Not Known
Orig. Contract Amount	Not awarded
Current Executed Change Orders	\$0.00
Current Contract Amount	Not awarded
Approved Progress Payment to Date	\$0.00
Percent Complete – Cost (Contractor Invoiced)	0 %
Construction Calendar Days Elapsed	0 Calendar Days
Percent Complete - Time (Schedule Elapsed)	0 %
Percent Construction Complete (Overall)	0 %

### 3.3 Planned Project Scope of Work

- Offsite recycled potable reuse facilities including pipelines, injection wells, monitoring well, etc.
- Implementation of groundwater augmentation in the Morro groundwater basin.

### 3.4 Pre-Construction Progress: July 1 to September 30, 2021

- The City, Carollo, and GSI continued to make progress toward bidding the Pilot Injection Well project. Bidding documents for the project have been completed and sent to the City for review.
- ABC Liovin Drilling was selected to install the monitoring well (anticipated to begin in Oct. 2021).
- The City received Notice of Acceptance on 8/27/2021, from RWQCB for their Aquifer Storage and Recovery (ASR) Permit, thereby allowing the upcoming pilot injection well testing to commence.
- Guida Surveying, inc. performed their first pre-construction survey on 7/6/2021 and 7/9/2021 to finalize the topographic surveying, easement delineation, and existing utility locations.
- Desktop vegetation surveys and exhibit development has been completed and the Program Team is expected to coordinate with the project Biologist in October 2021 to identify vegetation protection and removal requirements for the pilot injection well and monitoring well construction activities.
- Photo below shows existing utilities to be physically located prior to any well drilling activities.

### 3.5 Project Photographs

- See below aerial view of the current rough preliminary pilot injection well location, monitoring well location, identified utilities, and cleared vegetation within the 100-ft wide easement.



### 3.6 Change Order Summary

- N/A (project work has not commenced).

### 3.7 Problems Encountered / Solutions / Status

- N/A (project work has not commenced).

## Section 4

# ENVIRONMENTAL/REGULATORY COMPLIANCE

This quarterly progress report section summarizes the City's environmental and regulatory compliance pursuant to oversight by the following regulatory agencies: State Water Resources Control Board (SWRCB), CDFW, United States Fish and Wildlife Service (USFWS), United States Environmental Protection Agency (USEPA), California Coastal Commission, San Luis Obispo (SLO) County Air Pollution Control District (APCD), SHPO, Central Coast Regional Water Quality Control Board (RWQCB), and the City of Morro Bay. Specific activities are summarized in Appendix A. Copies of supporting compliance documentation is available upon request.

## Appendix A

# ENVIRONMENTAL/REGULATORY COMPLIANCE SUMMARY



# WATER RECLAMATION FACILITY ENVIRONMENTAL/REGULATORY COMPLIANCE SUMMARY

UPDATED: September 30, 2021



Agency	Reference Document	Document Reference	Measure Focus	Measure	Phase 1 Water Reclamation Facility (WRF) Compliance Activities (7/1/2021 thru 9/30/2021)	Phase 2 Conveyance Facilities Compliance Activities (7/1/2021 thru 9/30/2021)	Phase 3 Recycled Water Facilities Compliance Activities (7/1/2021 thru 9/30/2021)
United States Fish and Wildlife Service	Biological Opinion	Biological Opinion	Tidewater Goby Item 1	The applicant will implement erosion and sedimentation control measures (e.g., silt fences, straw bales or wattles) in all areas where disturbed substrate may potentially wash into waters via rainfall or runoff, particularly around stockpiled material and at the downstream end of each project reach. Such measures should remain in place and be inspected periodically until the project is complete and exposed soils are stabilized. Diversion structures, sediment traps/basins and associated equipment (e.g., pumps, lines) will be maintained in optimal working condition for the entire duration of the preparation and construction periods.	No change in status. Required monitoring inspections continued during the reporting period - performed by the Project Biologist (KMA) and Filanc's QSP.  See Submittal #007 (Revisions 0 thru 3): Stormwater Pollution Prevention Plan. SWPPP BMP measures are installed and being maintained throughout the site perimeter and laydown area. (MEASURE ACHIEVED)	No change in status. Project Biologist (KMA) has clarified that the Tidewater Goby requirement is only applicable to work near Morro Creek (i.e. the new pipe utility bridge and abutment structures). No work has occurred in this area to date or is scheduled for the next reporting period. See Submittal #37: Stormwater Pollution Prevention Plan. SWPPP BMP measures are installed and being maintained at laydown areas, and at active work zones as required. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Tidewater Goby Item 2	Prior to the start of work, the contractor will prepare a spill prevention plan to ensure prompt and effective response to any accidental spills. All workers will be informed of the importance of preventing spills and of the appropriate measures to take should a spill occur. All project-related hazardous materials spills within the project site will be cleaned up immediately. Spill prevention and cleanup materials will be on-site at all times during the course of the project.	No change in status. No spills recorded during the reporting period. (MEASURE ACHIEVED)  See Submittal #076: Spill Prevention, Control and Countermeasures (SPCC) Plan. Spill prevention kits and other associated supplies are being maintained on site. (MEASURE ACHIEVED)	No change in status. Project Biologist (KMA) has clarified that the Tidewater Goby requirement is only applicable to work near Morro Creek (i.e. the new pipe utility bridge and abutment structures). No work has occurred in this area to date or is scheduled for the next reporting period. Similarly, no spills have occurred in the subject area. See Submittal #37. Spill prevention guideline and countermeasures are included in SWPPP. The Contractor has been requested to ensure there are spill prevention materials available at all work sites.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Tidewater Goby Item 3	All refueling, maintenance, and washing of equipment and vehicles will occur on paved areas in a location where a spill would not travel into a drainage feature or storm drain inlet. This fueling/staging area will conform to Best Management Practices applicable to attaining zero discharge of stormwater runoff into waters of the U.S. and State of California. At a minimum, all equipment and vehicles must be checked and maintained on a daily basis to ensure proper operation and avoid potential leaks or spills. Washing of equipment will occur only in a location where polluted water and materials can be contained for subsequent removal from the site.	No change in status. General compliance with measure is confirmed. (MEASURE ACHIEVED)  The paved fueling and maintenance area was completed and in use by 4/29/20. The completion of the paved area was reported to Coastal Commission with photo via email on 4/29/20. (MEASURE ACHIEVED)	No change in status. Project Biologist (KMA) has clarified that the Tidewater Goby requirement is only applicable to work near Morro Creek (i.e. the new pipe utility bridge and abutment structures). No work has occurred in this area to date or is scheduled for the next reporting period. Similarly, no vehicle or equipment fueling, maintenance, or washing activities have occurred in the subject area.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Tidewater Goby Item 4	A designated concrete washout location will be established onsite, in an area at least 50 feet from any drainage feature or storm drain inlet. The washout will be maintained and inspected weekly, and will be covered prior to and during any rain event. If a container is used, concrete debris will be removed whenever the washout container reaches the half full mark.	Structural concrete work is essentially completed. Filanc provides fully compliant washout areas for each concrete placement event.  Filanc's SWPPP includes Section 2.6, Section 3.3, and BMP WM-8, with stipulations for Concrete Waste Management. (MEASURE ACHIEVED)	No change in status. Project Biologist (KMA) has clarified that the Tidewater Goby requirement is only applicable to work near Morro Creek (i.e. the new pipe utility bridge and abutment structures). No work has occurred in this area to date or is scheduled for the next reporting period. Similarly, no concrete washout activities have occurred in the subject area.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Tidewater Goby Item 5	Best Management Practices for dust abatement will be a component of the project's construction documents. Dust control requirements will be carefully implemented to prevent water used for dust abatement from transporting pollutants to storm drains leading to the creek channel.	No change in status. Dust control by watering of work areas is implemented on a daily and on-going basis during construction activities.  Design-Build Agreement Section 5.10.1 includes stipulations for the Fugitive Dust Control Plan (FDCP) in accordance with the SLOC APCD Regulations. The approved SWPPP includes applicable dust control measures. (MEASURE ACHIEVED)	No change in status. Project Biologist (KMA) has clarified that the Tidewater Goby requirement is only applicable to work near Morro Creek (i.e. the new pipe utility bridge and abutment structures). No work has occurred in this area to date or is scheduled for the next reporting period. Similarly, no dust control activities have occurred or been warranted in the subject area.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Tidewater Goby Item 6	The applicant will prepare a frac-out contingency plan prior to initiation of construction activities that involve horizontal direction drilling activities. The applicant will implement the frac-out contingency plan during horizontal directional drilling construction activities. At a minimum, the plan will include the following: (a) Measures to minimize the potential for a frac-out associated with horizontal directional drilling activities; (b) Provide for the timely detection of frac-outs; (c) Protect areas that are considered environmentally sensitive (streams, wetlands, other biological resources, cultural resources); (d) Ensure an organized, timely, and "minimum-impact" response in the event a frac-out and the release of drilling mud occurs; and (e) Ensure that all appropriate notifications are made to the appropriate environmental specialists immediately (e.g., qualified biological monitor), and to appropriate regulatory agencies within 24 hours and that documentation is completed.	No change in status.  There is no horizontal directional drilling (HDD) on the project.  As such, no frac-out plan is required. (MEASURE NOT APPLICABLE)	No change in status. As stated above, the Tidewater Goby requirement is only applicable to work near Morro Creek, and no work has occurred in that area. Moreover, the project scope includes both Microtunneling and Jack/Bore installations, but not horizontal directional drilling (HDD). With Microtunneling the "overcut" annulus is continuously lubricated with a low pressure slurry (5 psi). The low pressure clay slurry does not have the threat of frac-out as would be the case with HDD. As such, no frac-out plan is required. (MEASURE NOT APPLICABLE)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Morro Shoulderband Snail Item 1	A Service-approved biologist will survey for Morro Bay Shoulderband snails no more than 48 hours before initial ground-disturbing and vegetation-clearing activities that occur on dune land or Baywood fine sand. The Service-approved biologist will monitor all construction activities occurring on dune land or Baywood fine sand. If the species is located during any of these pre-activity surveys or during subsequent project activities, the Service will be contacted immediately and activities will halt in that particular area until it is determined what actions may be necessary to avoid take of the snail.	NA -Not applicable to WRF Project.  The Morro Bay Shoulderband Snail are not present at the WRF Site.	No change in status. There was no activity in dune sands or Baywood fine sand during the reporting period.  KMA has performed all necessary pre-construction inspections and on-going monitoring. To date only orange construction fencing has been necessary for area delineation, not silt fencing.  KMA will also continue to perform all required post rain or dense fog inspections as required.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Morro Shoulderband Snail Item 2	Any equipment use, materials stockpiling, lift station construction, or any other uses proposed on the north side of Atascadero Road opposite the existing treatment plant will be setback from any potentially suitable habitat. If construction adjacent to potentially suitable Morro Shoulderband snail habitat occurs during the winter rain season, a Service-approved biologist will survey the work area immediately following rain events or dense fog conditions to ensure that no Morro Shoulderband snails have entered the site.	NA -Not applicable to WRF Project.  The Morro Bay Shoulderband Snail are not present at the WRF Site.	No change in status. Contractor is staging materials and equipment on the north side of Atascadero Road across from the City's existing wastewater plant. All equipment, materials, and other staging area amenities on the north side of Atascadero Road are setback from any potentially suitable habitat. The set back has been reviewed and is being monitored by the project Service-Approved Biologist. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Informal Consultation	Morro Shoulderband Snail Item 3	Silt fence will not be used to exclude Morro Shoulderband snails from work areas where suitable sandy soils and habitat may be present. Work areas in sandy soils near potential Morro Shoulderband snail habitat will be clearly delineated with flagging and/or stakes to limit the boundaries of work areas and confine them to developed and paved areas. If silt fencing must be used for other reasons in areas near potential Morro Shoulderband snail habitat, additional measures developed by a Service-approved biologist will be implemented to avoid harm to the Morro Shoulderband snail.	NA -Not applicable to WRF Project.  The Morro Bay Shoulderband Snail are not present at the WRF Site.	No change in status. Applicable sandy soil habitat has been noted in areas adjacent to work zones. Off-set distances have been reviewed and deemed suitable by the Service-Approved Biologist (KMA). KMA has performed all necessary pre-construction inspections and on-going monitoring. To date only orange construction fencing has been necessary for area delineation, not silt fencing.	NA - Project is in pre-design phase



# WATER RECLAMATION FACILITY ENVIRONMENTAL/REGULATORY COMPLIANCE SUMMARY

UPDATED: September 30, 2021



Agency	Reference Document	Document Reference	Measure Focus	Measure	Phase 1 Water Reclamation Facility (WRF) Compliance Activities (7/1/2021 thru 9/30/2021)	Phase 2 Conveyance Facilities Compliance Activities (7/1/2021 thru 9/30/2021)	Phase 3 Recycled Water Facilities Compliance Activities (7/1/2021 thru 9/30/2021)
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog DESCRIPTION OF THE PROPOSED ACTION Biological Opinion p. 6	The permanent fencing will include a concrete exclusion barrier along the eastern boundary of the site that extends 24 inches above grade. The top of the concrete exclusion barrier will include a six-inch lip that will serve as a climbing barrier for the California red-legged frog. Affixed to the top of the concrete exclusion barrier will be a six-foot chain link fence with privacy slats. The remaining perimeter of the site will include a six-foot chain link fence with privacy slats.	This item is revised by below item: Biological Opinion Amendment. The City has proposed and USEPA/USFWS have accepted an alternate HDPE wildlife barrier design that reduces project costs (i.e. 2 mm thick HDPE, 24" above grade, 36" below grade, and 4" FRP top lip, affixed to 6 ft chain link fence with slats). The proposed alternate barrier design includes 1660 LF of HDPE barrier, and 490 LF of concrete wall barrier, along the eastern boundary of site. The Design-Build Team has completed design elements and details for the modified barrier.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Biological Opinion Amendment	California Red Legged Frog Biological Opinion Amendment dated 1/6/2021	The proposed changes include using a high density polyethylene (HDPE) exclusion barrier along the facility's eastern edge as it interfaces with the Drainage 3 corridor, in place of the concrete barrier described in the biological opinion. The concrete barrier would still be used in the southeastern part of the site along the access road. The HDPE exclusion barrier would be installed 36 inches below grade and extend 24 inches above grade. It has a 15 to 30 year life expectancy, compared to the 50 to 100 year life expectancy of the concrete barrier. The HDPE barrier would have a 4-inch overhanging lip at the top of the fence to deter climbing California red-legged frogs, while the concrete barrier would have a 6-inch lip. The City of Morro Bay (applicant) will conduct quarterly inspections of the barrier for signs of wear or damage and provide immediate repairs as needed. The applicant expects that only the above-ground portion of the barrier will need to be replaced in the future, because the below-ground barrier will be protected from sunlight, weather, and other potential damage. In the event that a complete barrier replacement is required, the applicant will contact the U.S. Fish and Wildlife Service (Service) for guidance prior to completing replacement. The applicant will document instructions to contact the Service in the event of a complete barrier replacement in their written protocols for fence maintenance.	No change in status. Applicable installation work on the alternate HDPE wildlife barrier has not commenced.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog DESCRIPTION OF THE PROPOSED ACTION Biological Opinion p. 6	Permanent night lighting will be minimal with low intensity and will follow current City of Morro Bay and County of San Luis Obispo policies to prevent spillover into open space areas.	No change in status.  Low intensity night lighting design elements are included in the Design-Build Team's "Issued For Construction" Plans/Specifications. (MEASURE ACHIEVED)	No change in status.  Low intensity night lighting design elements are included in the Conveyance Facility Plans/Specifications. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog DESCRIPTION OF THE PROPOSED ACTION Biological Opinion p. 7	The applicant proposes to mitigate for the loss of California red-legged frog critical habitat through the on-site conservation of 19.5 acres of dispersal habitat, on the same parcel where the Water Reclamation Facility would be located. The applicant will achieve protection through a conservation easement or another appropriate and feasible mechanism. The applicant will develop the protection in coordination with the Service and complete protection within 12 months of initiating project activities. The construction process will disturb nine acres of the proposed mitigation area by grading and installing fourteen drainage swales. The drainage swales would be concrete-lined with sides at a 1:1 slope. The applicant will revegetate the disturbed areas and return them to grassland.	In July 2021, the City provided USEPA draft Conservation Covenant language for review in advance of the City Council taking action to establish the 19.5-Acre Dispersal Habitat Conservation Easement.  On September 28, 2021, the Morro Bay City Council voted to approved the Conservation Covenant.  The project's post construction closeout activities will include restoration and revegetation of disturbed grasslands.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog DESCRIPTION OF THE PROPOSED ACTION Biological Opinion p. 7	The applicant's Coastal Development Permit, issued by the Coastal Commission of California, obligates the applicant to restore and enhance 1.5 acres of riparian zone. These acres are located between the Water Reclamation Facility's eastern fence line and the property boundary parallel to Drainage 3. The applicant will plant native trees, shrubs, and grasses to enhance the riparian area. A restoration ecologist will monitor the riparian restoration zone for five years or until restored areas have met success criteria. The proposed riparian restoration zone connects with the proposed compensatory mitigation acres at the north end of the facility.	No change in status. Project Biologist (KMA) completed the Riparian Enhancement Plan (REP). The City submitted REP to Coastal Commission and CDFW. The City has received favorable review comments from the Coastal Commission, but their final approval is pending CDFW's review. CDFW has not responded to the submittal to date.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 1	Only Service-approved biologists will participate in activities associated with the capture, handling, and relocation of California red-legged frogs.	No change in status.  The project Biologist, Kevin Merk (KMA) has been approved in writing by USFWS. (MEASURE ACHIEVED)	No change in status.  The project Biologist, Kevin Merk (KMA) has been approved in writing by USFWS. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 2	The applicant will submit the names and resumes of a qualified biologist and qualified biological monitor for approval by the Service at least 14 days prior to the start of work. Ground disturbance will not begin until written approval is received from the Service that project biologist(s) are qualified to conduct the work.	No change in status.  The project Biologist, Kevin Merk (KMA), and other project monitors employed by KMA, have been approved in writing by USFWS. (MEASURE ACHIEVED)	No change in status.  The project Biologist, Kevin Merk (KMA), and other project monitors employed by KMA, have been approved in writing by USFWS. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 3	A Service-approved biologist will survey the project site no more than 48 hours before the onset of work activities. The Service-approved biologist will survey a 500-foot buffer zone upstream and downstream of the construction area for California red-legged frogs, as feasible, in consideration of the private property in the area. The Pre-Construction Survey will include a description of any standing or flowing water present in the drainage feature in proximity to the WRF construction area. If any life stage of the California red-legged frog is found and these individuals are likely to be killed or injured by work activities, the approved biologist will be allowed sufficient time to move them from the site before work begins. The Service-approved biologist will relocate the California red-legged frogs the shortest distance possible to a location that contains suitable habitat and that will not be affected by activities associated with the project. The relocation site will be in the same drainage to the extent practicable. The Service-approved biologist will coordinate with the Service on the relocation site prior to the capture of any California red-legged frogs.	No change in status.  The Service-Approved Biologist conducted the required Pre-Construction Survey and provided the City documentation of results.  There were no California red-legged frog relocations necessary during the Pre-Construction Survey. (MEASURE ACHIEVED)	No change in status.  The Service-Approved Biologist continues to conduct all required Pre-Construction Surveys as pipeline installation activities advance along the project alignment. KMA documents such surveys as required.  There were no California red-legged frog relocations necessary during the Pre-Construction Survey. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 4	A Service-approved biologist will be present at the work site until all California red-legged frogs have been relocated out of harm's way, workers have been instructed, and disturbance of habitat has been completed. After this time, the Service-approved biological monitor will ensure and document on-site compliance with all minimization measures. Biological monitoring will occur for all initial disturbance activities, and then will be scaled back to an as-needed basis once all habitat was removed for any activity occurring near a drainage feature or other environmentally sensitive habitat area. Biological monitoring will occur on a daily basis during the rainy season for any construction related activities at the WRF site. The Service-approved biologist will ensure that this monitor receives training on the minimization measures. If the Service-approved biological monitor or the Service-approved biologist recommends that work be stopped because California red-legged frogs would be affected in a manner not anticipated by the EPA and the Service during review of the proposed action, they will notify the project manager (the manager that is directly overseeing and in command of construction activities) immediately. The project manager will either resolve the situation by eliminating the adverse effect immediately or require that all actions causing these effects be halted. At this time, the Service-approved biologist may be called to relocate the California red-legged frog(s) out of harm's way.	No change in status. The Service-Approved Biologist provided all required field monitoring and oversight as stipulated in the measure during initial ground disturbance activities, during the monitoring scale back phase, and through the remainder of the reporting period on an as-needed basis. (MEASURE ACHIEVED).  The biologist continues to document compliance with this measure in Biologist field notes.	No change in status. There were no California red-legged frog relocations necessary during the Pre-Construction Survey or during the reporting period. The Service-approved biologist has provided all required field monitoring and oversight as stipulated in the measure. The biologist has and continues to document compliance with this measure in Biologist field notes. (MEASURE ACHIEVED)	NA - Project is in pre-design phase



# WATER RECLAMATION FACILITY ENVIRONMENTAL/REGULATORY COMPLIANCE SUMMARY

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United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 5	Before the start of any construction activities at the Water Reclamation Facility, the applicant will erect a combination silt, safety, and wildlife exclusion fence around the entire site. The entire site will include all disturbed areas and areas utilized by the applicant and its contractors for temporary construction laydown and stockpiling. The fence will have a minimum height of 36 inches above ground, a trench depth of at least six inches, and a minimum five-inch overhang that will serve as a climbing barrier for California red-legged frogs. To allow for site access, a temporary chain link fence gate will be erected at the head of the access road at Teresa Road. The exclusion fencing material will be affixed to the chain link fence gate and will be equipped with ground sweeps. The temporary construction fence will be monitored on a daily basis during the winter rain season (October 15 through April 15) and will remain in place until after substantial completion of the Water Reclamation Facility following the completion of the permanent exclusion fencing system.	No change in status. A temporary combination silt, safety, and wildlife exclusion fence was installed around the entire site prior to the start of field work. (MEASURE ACHIEVED). The perimeter barriers continue to be monitored and maintained by FBV. The barriers are also inspected and deemed acceptable by the Service-Approved Biologist on an on-going basis. Compliance with this measure is denoted in Biologist field notes. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 6	Prior to the commencement of construction-related activities, and for the duration of proposed construction activities, all construction workers will attend an Environmental Awareness Training and Education Program, developed and presented by the Service-approved biologist. The program will include information such as identification, habitat description, and protection under the Federal Endangered Species Act. The training will include detailed information about California red-legged frog and its habitat, the specific measures that are being implemented to conserve the California red-legged frog for the project, and the boundaries within which the project may be accomplished. Brochures, books, and briefings may be used in the training session as determined by the Service-approved biologist. Workers will be required to sign an acknowledgement form and will receive a hard hat sticker documenting their completion of the environmental awareness training.	No change in status. General compliance with measure is confirmed. All construction workers and administrative staff on site have attended Environmental Awareness Training developed and presented by the Service-approved biologist. This includes all new employees on site. Sign-in sheets are being collected and retained for each training session. Project hardhat stickers are issued once training is completed to denote compliance. (MEASURE ACHIEVED)	No change in status. General compliance with measure is confirmed. All construction workers and administrative staff on site have attended Environmental Awareness Training developed and presented by the Service-approved biologist. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 7	Before ground disturbing work activities begin each day, the Service-approved biological monitor will conduct a pre-construction survey and inspect under construction equipment and materials to look for California red-legged frogs. If a California red-legged frog is found during these checks or during construction, the Service-approved biological monitor will halt work that may affect the animal until the Service-approved biologist can move it out of harm's way.	No change in status. The Service-approved biologist continues to conduct surveys / inspections of the project site on an as-needed basis. Compliance with this measure is denoted in Biologist field notes. There were no California Red-Legged Frog relocations or takes during the reporting period. (MEASURE ACHIEVED)	No change in status. The Service-approved biologist has performed all inspections as required before ground disturbance. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 8	The Service-approved biologist will be present at the work site during initial site disturbance activities, including installation of exclusion fencing, erosion and sediment controls, and until the applicant has completed all surface disturbance. For work during the rainy season when California red-legged frogs may be moving through the project area, the biological monitor will conduct daily clearance surveys each morning prior to the start of work to ensure California red-legged frogs have not moved into the area and the wildlife exclusion fence is in good condition. If a California red-legged frog is observed within the biological monitoring area, the biological monitor will immediately contact the construction superintendent and evaluate the location of the frog in relation to ongoing work. If the frog is located within the work area, all work within 200 feet of the individual will be halted, and the individual will be allowed to leave the area under its own volition, or the Service-approved biologist may be called to capture and relocate the individual. The biological monitor will also provide additional training to the project's key construction management personnel on all environmental requirements associated with the project, so they can ensure all avoidance and minimization measures for biological resources are followed when the biological monitor is not present.	The Service-approved Biologist continues to monitor the disturbance of any previously undisturbed areas. The biologist continues to conduct surveys / inspections of the site on an as-needed basis. Compliance with this measure is denoted in Biologist field notes. No Red-Legged Frog relocations were necessary during the reporting period. The Service-approved biologist was present during initial site disturbance activities, including installation of exclusion fencing, erosion and sediment controls. (MEASURE ACHIEVED)	The Service-approved biologist was present during initial site disturbance activities, including installation of exclusion fencing, erosion and sediment controls. The biologist continues to monitor the disturbance of any new areas. The biologist conducts pre-construction surveys/inspections of the project sites on an ongoing and as needed basis. Compliance with this measure is denoted in Biologist field notes. There were no California red-legged frog relocations necessary during the reporting period. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 9	Prior to the start of work, the contractor will prepare a Spill Prevention Plan to ensure prompt and effective response to any accidental spills. All workers will be informed of the importance of preventing spills and of the appropriate measures to take should a spill occur. All project-related hazardous materials spills within the project site will be cleaned up immediately. Spill prevention and cleanup materials will be on-site at all times during the course of the project. During construction/ground disturbing activities, all refueling, maintenance, and staging of equipment and vehicles will be located at least 100 feet from a drainage feature in a protected location where any potential spill would be contained and not drain directly toward aquatic habitat. The construction superintendent with support from the biological monitor will ensure contamination of habitat does not occur during such operations.	No change in status. General compliance with measure is confirmed. See Submittal #076: Spill Prevention, Control and Countermeasures (SPCC) Plan. Workers have received training and information pursuant to the SPCC and emergency response procedures. Spill prevention kits and other associated supplies are being maintained on site. There were no recorded spill incidents during the reporting period. (MEASURE ACHIEVED)	No change in status. See Submittal #37. Spill prevention measures and countermeasures are included in the approved project SWPPP. On April 6, 2021, there were separate incidents involving a ruptured water main and a ruptured sewer force main, at separate locations along Quintana Rd. Both incidents were caused by Contractor errors and resulted in non-stormwater discharges to storm drainage systems or water ways. The City/Contractor implemented emergency spill containment and clean-up measures.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 9a	All refueling, maintenance, and washing of equipment and vehicles will be located on paved areas in a location where a spill will not travel into a drainage feature or storm drain inlet. This fueling/staging area will conform to Best Management Practices (BMPs) applicable to attaining zero discharge of stormwater runoff into waters of the U.S. and State of California. At a minimum, all equipment and vehicles must be checked and maintained on a daily basis to ensure proper operation and avoid potential leaks or spills. Washing of equipment will occur only in a location where polluted water and materials can be contained for subsequent removal from the site.	No change in status. General compliance with measure is confirmed. The paved fueling and maintenance area was completed and in use by 4/29/20. The completion of the paved areas was reported to Coastal Commission with photo via email on 4/29/20. (MEASURE ACHIEVED)	No change in status. The project work sites are mainly within paved City streets and the public right-of-way. Contractor has been reminded to only refuel equipment on pavement in locations where a spill would not travel into a drainage feature or storm drain inlet.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 9b	A designated concrete washout location will be established onsite, in an area at least 50 feet from any drainage feature or storm drain inlet. The washout will be maintained and inspected weekly, and will be covered prior to and during any rain event. If a container is used, concrete debris will be removed whenever the washout container reaches the 1/2 full mark.	Structural concrete work is essentially completed. Filanc provides a fully compliant washout area for each concrete placement event. Filanc's SWPPP includes Section 2.6, Section 3.3, and BMP WM-8, with stipulations for Concrete Waste Management. (MEASURE ACHIEVED)	Structural concrete work is on-going. Anvil provides a fully compliant washout area for each concrete placement event. Anvil's SWPPP includes the necessary BMPs and stipulations for Concrete Waste Management. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 9c	BMPs for dust abatement will be a component of the project's construction documents. Dust control requirements will be carefully implemented to prevent water used for dust abatement from transporting pollutants to storm drains leading to the creek channel.	No change in status. General compliance with measure is confirmed. Dust control water is not permitted to enter storm drains or adjacent creek channel. (MEASURE ACHIEVED)  Design-Build Agreement Section 5.10.1 includes stipulations for a Fugitive Dust Control Plan (FDCP) in accordance with the San Luis Obispo County Air Pollution Control District Regulations. Dust control measures are being implemented during construction activities.	Dust control measures are being implemented during construction activities as required. Regular street sweeping is occurring on an on-going basis as required. (MEASURE ACHIEVED)  Contract documents include appropriate stipulations for dust control measures, and the Contract work is bound by San Luis Obispo County Air Pollution Control District fugitive dust regulations. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 10	To prevent inadvertent entrapment during construction, all excavated, steep-walled holes or trenches will be covered with plywood or similar materials at the close of each work day, or provided with one or more escape ramps constructed of earth fill or wooden planks. If trapped California red-legged frogs are observed, the Service-approved biologist will relocate the California red-legged frog.	No change in status. General compliance with measure is confirmed. All excavations on site are configured to prevent entrapment of wildlife during nonworking hours. (MEASURE ACHIEVED)	No change in status. General compliance with measure is confirmed. All excavations on site are configured to prevent entrapment of wildlife during non-working hours (exclusionary fencing or escape ramps are provided). (MEASURE ACHIEVED)	NA - Project is in pre-design phase



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United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 11	During project activities, all trash that may attract predators will be properly contained, removed from the work site, and disposed of regularly. Following construction, all trash and construction debris will be removed from work areas.	No change in status. Contractor continues to provide exemplary site housekeeping. (MEASURE ACHIEVED)	No change in status. Contractor has provided good site housekeeping to date, and there is no food waste being discarded at work sites or staging areas. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 12	Spoils will be stockpiled in disturbed areas that lack native vegetation. BMPs will be employed to prevent erosion in accordance with the project's approved Stormwater Pollution Prevention Plan.	During the reporting period, one temporary stockpile of topsoil was located above the west-side cut slope in an undisturbed area. The stockpile has since been moved and the area grading restored to original condition. This area will be revegetated, returned to grasslands, using hydroseeding if necessary. To date all other stockpiles have been in disturbed areas within the treatment plant footprint and/or areas planned for disturbance. (MEASURE ACHIEVED)	No change in status. To date all stockpiles have been in previously disturbed areas, designated stockpile areas, or on paved areas with appropriate SWPPP measures deployed. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 13	Vehicular traffic to and from the WRF construction site will use existing routes of travel. Cross-country vehicle and equipment use outside designated work areas will be prohibited.	No change in status. General compliance with measure is confirmed. Vehicle access corridors around the site, and mass excavation haul routes on site are established. Cross-country vehicle and equipment use is not necessary. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 14	Areas of disturbance will be minimized to the maximum extent practicable. Parking areas, new roads, staging, storage, excavation access routes, and disposal or temporary placement of spoils will be confined to the smallest areas possible. These areas will be flagged and disturbance activities, vehicles, and equipment will be confined to these flagged areas. Construction-related activities outside of the impact zone will be avoided.	No change in status. General compliance with measure is confirmed. Vehicle access corridors around the site, and mass excavation haul routes on site are established. New areas of disturbance are avoided to the extent possible and if new disturbance areas are needed, the approved biologist is consulted accordingly. (MEASURE ACHIEVED)	No change in status. The project work sites are mainly within paved City streets and the public right-of-way. As such there is limited potential to impact undisturbed adjacent areas. New areas of disturbance will be avoided to extent possible, and are currently not anticipated.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 15	Nighttime lighting during construction of the WRF will be minimized to the maximum extent practicable. While regular nighttime work is not anticipated, nighttime lighting may be required during construction, but mitigation measures are required to ensure the lighting is shielded and pointed away from sensitive receptors such as the surrounding open space areas.	No change in status. Night work and/or nighttime lighting has been extremely minimal during the reporting period (only for time dependent concrete slab finishing as is required). (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 16	Workers will be prohibited from bringing pets and firearms to the project site and from feeding wildlife.	No change in status. Pets and firearms are restricted from site. (MEASURE ACHIEVED)	No change in status. Pets and firearms are restricted from site. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 17	To ensure that diseases are not conveyed between work sites by the Service-approved biologist, the fieldwork code of practice developed by the Declining Amphibian Populations Task Force will be followed at all times.	No change in status. Compliance with this measure is documented in Biologist field notes. (MEASURE ACHIEVED)	No change in status. Compliance with this measure is documented in Biologist field notes. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 18	The project proponent will conduct regular inspections and maintenance of the slatted chain link fence in order to ensure slats are in good condition to prevent entry of California red-legged frogs. This will occur at least twice yearly, with one inspection occurring within one month of the onset of the rainy season. The rainy season is defined as between October 15 and April 15.	No change in status. NA - The slatted chain link fence is not installed yet.	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 19	The applicant will develop and implement a revegetation plan that includes: location of the restoration, plant species to be used, restoration techniques, time of year the work will be done, identifiable success criteria for completion, and remedial actions if the success criteria are not achieved. All areas of temporary disturbance will be revegetated with an assemblage of native species, and locally collected plant materials will be used to the extent practical. All areas revegetated due to temporary disturbance will be monitored by a qualified biologist/restoration ecologist for five years following seeding and planting activities or until the final success criteria have been met.	No change in status. The required revegetation plan is a post construction consideration - no applicable activities occurred this reporting period.	No change in status. The required revegetation plan is a post construction consideration - no applicable activities occurred this reporting period.	NA - Project is in pre-design phase



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United States Fish and Wildlife Service	Biological Opinion	Proposed Action	California Red Legged Frog Item 20	Any use of herbicides during the routine maintenance landscaping and revegetated areas which occurs outside Water Reclamation Facility fence will be minimized.	No herbicides were used during the reporting period. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
United States Fish and Wildlife Service	Biological Opinion	Reporting Requirements	California Red Legged Frog REPORTING REQUIREMENTS Biological Opinion p. 31	Pursuant to 50 CFR 402.14(i)(3), EPA must report the progress of the action and its impact on the species to the Service as specified in this incidental take statement. The applicant, through a qualified botanist, will monitor the success of revegetation actions on areas of temporary disturbance for a period of 5 years after revegetation takes place. The EPA or applicant will provide yearly reports to the Service by January 31 of each year during the construction phase of the project. These reports will include the number and age class of California red-legged frogs that have been captured and relocated, and that have been found injured or dead. These reports will also include the dates and results of inspections of the chain link fence, as well as any repairs that were made to the fence, an analysis of whether the chain link fence is successful in excluding California red-legged frogs, and any suggestions for improvement.	The City has provided the Year 2020 Annual Report. (MEASURE ACHIEVED) During the entire project duration, including the current reporting period, zero (0 each) California Red-Legged Frogs have been captured, relocated, and/or found injured or dead. The WRF site permanent perimeter fencing has not been installed yet.	The City has provided the Year 2020 Annual Report. (MEASURE ACHIEVED) During the entire project duration, including the current reporting period, zero (0 each) California Red-Legged Frogs have been captured, relocated, and/or found injured or dead.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	WRF Development Envelope Special Condition 1(a)	All WRF development shall be located within the development envelope as shown in CDP Exhibit 1.	The WRF is located within the development envelope as shown in the CDP. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Rural Agricultural Theme Special Condition 1(b)	The design and appearance of all WRF development shall reflect a rural agricultural theme (i.e., simple and utilitarian lines and materials, including use of board-and-batten siding, corrugated metal, muted earth tone colors, etc.).	The design and appearance of the WRF development has been approved by the Coastal Commission and applicable design elements are encompassed in Issued For Construction documents. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Pump Stations and Related Development Design Special Condition 1(c)	All pump stations and related development design shall be sited and designed to limit impacts on public views as much as possible, including landscaping.	The design and appearance of the WRF development has been approved by the Coastal Commission and applicable design elements are encompassed in Issued For Construction documents. (MEASURE ACHIEVED)	Pump Stations A and B were sited and designed to limit impacts on public views, to the extent possible while facilitating project technical requirements. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Landscaping Special Condition 1(d)	Landscaping shall consist of native, non-invasive, and drought tolerant species that provide appropriate screening and softening of development features in public views as much as possible.	The DB Team engaged Firma Consultants (SLO CA) as the project Landscape Architects. Firma provided 100% plans and specs. The DB Team received competitive bids and selected KCI Environmental for the landscaping subcontractor. The City provided a cursory review of landscaping plans and found them to be in general compliance with CDP approval documents.	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Lighting Minimization Special Condition 1(e)	Exterior lighting shall be wildlife-friendly, shall use lamps that minimize the blue end of the spectrum, and shall be limited to the minimum lighting necessary for pedestrian and vehicular safety purposes. All lighting (exterior and interior) shall be sited and designed so that it limits the amount of light or glare visible from Highway 1 to the maximum extent feasible (including through uses of lowest luminosity possible, directing lighting downward, etc.).	No change in status. Low intensity night lighting design elements are included in the Design-Build Team's "Issued For Construction" Plans/Specifications. (MEASURE ACHIEVED)	No change in status. Low intensity night lighting design elements are included in the Design-Build Team's "Issued For Construction" Plans/Specifications. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Windows and Other Surfaces Special Condition 1(f)	All windows shall be non-glare glass, and all other surfaces shall be similarly treated to avoid reflecting light, and all windows shall be bird-safe (i.e., windows shall be frosted, partially frosted, or otherwise treated with visually permeable barriers that are designed to prevent bird strikes).	No change in status. Non-glare, non-reflective, and bird-safe exterior finishes and design elements are included in the Design-Build Team's "Issued For Construction" Plans/Specifications. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Utilities Special Condition 1(g)	Revised Final Plans shall clearly identify all utilities.	No change in status. All WRF site underground pipelines, conduits, and other utilities, are shown on the DB Team's Issued for Construction (IFC) plans. (MEASURE ACHIEVED). Any utility modifications to the IFC Plans are required to be posted on project Record Drawings.	No change in status. All Conveyance Facilities project underground pipelines, conduits, and other utilities (new and existing) are shown on the conformed construction drawings. (MEASURE ACHIEVED). Any utility modifications will be posted on project Record Drawings.	NA - Project is in pre-design phase



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Agency	Reference Document	Document Reference	Measure Focus	Measure	Phase 1 Water Reclamation Facility (WRF) Compliance Activities (7/1/2021 thru 9/30/2021)	Phase 2 Conveyance Facilities Compliance Activities (7/1/2021 thru 9/30/2021)	Phase 3 Recycled Water Facilities Compliance Activities (7/1/2021 thru 9/30/2021)
California Coastal Commission	Coastal Development Permit 3-19-0463	Revised Final Plans	Stormwater and Drainage Special Condition 1(h)	...all project area stormwater and drainage is filtered and treated to remove expected pollutants prior to discharge and directed to existing stormwater inlets/outfalls as much as possible. Infrastructure and water quality measures shall retain runoff from the project onsite to the maximum extent feasible, including through the use of pervious areas, percolation pits and engineered storm drain systems. Infrastructure and water quality measures shall be sized and designed to accommodate runoff from the site produced from each and every storm event up to and including the 85th percentile 24-hour runoff event. In extreme storm situations (i.e., greater than the 85th percentile 24-hour runoff event storm) where such runoff cannot be adequately accommodated onsite through the project's stormwater and drainage infrastructure, any excess runoff shall be conveyed inland offsite in a non-erosive manner.	No change in status. A Stormwater Design Report (Hydrology Report) has been prepared and issued by Ashley Vance Engineering. The findings and recommendation in the report have been implemented in the Design-Build Team's "Issued For Construction" Plans/Specifications. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Construction Plan	Construction Plans Special Condition 2 (a, b, c, d, e, f, and j)	The Construction Plan shall, at a minimum, include the following: (a) Grading, (b) Construction Areas, (c) Construction Methods and Timing, (d) Traffic Control Plans, (e) Property Owner Consent, (f) Best Management Practices, and (j) Construction Specifications.	No change in status. The City's WRF Project Construction Plan, per Special Condition 2, includes all of the listed elements, and has been approved by the Coastal Commission. The approved and stamped "Construction Plan" is available for public review at the City's Field Office trailer. (MEASURE ACHIEVED)	No change in status. The City's Conveyance Facilities Project Construction Plan, per Special Condition 2, includes all of the listed elements, and has been approved by the Coastal Commission. The approved and stamped "Construction Plan" is available for public review at the City's Field Office trailer. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Construction Plans	Post Construction Special Condition 2(g)	All construction areas shall be restored to their pre-construction state or better upon completion of work. Where appropriate and feasible, roads/sidewalks impacted by construction shall employ stormwater management infrastructure BMPs, including bioswales, pervious pavers, garbage traps, and vegetative strips.	No change in status. The required construction restoration effort at the WRF site will be limited to revegetation of grasslands. No applicable activities occurred this reporting period. No streets or sidewalks are being impacted by the project.	No change in status. Required construction restoration stipulations are encompassed in the Contract documents. All public and private improvements damaged or disturbed by construction will be restored to pre-existing conditions.  No applicable activities occurred this reporting period	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Construction Plans	Construction Site Documents Special Condition 2(h)	The Construction Plan shall provide that a copy of the signed CDP and the approved Construction Plan be maintained in a conspicuous location at each construction job site at all times, and that such copies shall be available for public review on request.	No change in status. General compliance with measure is confirmed. The approved Construction Plan and signed/stamped CDP approval documents are maintained in the Construction Management field office at the construction site for review by the public. (MEASURE ACHIEVED)	No change in status. General compliance with measure is confirmed. The approved Construction Plan and signed/stamped CDP approval documents are maintained in the Construction Management field office at 555 South Bay Blvd for review by the public. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Construction Plans	Construction Manager Special Condition 2(i)	The Construction Plan shall provide that a construction manager be designated to be contacted during construction should questions arise regarding the construction (in case of both regular inquiries and emergencies), and that his/her contact information (i.e., address, phone numbers, email address, etc.) including, at a minimum, a telephone number (with message capabilities) and an email that will be made available 24 hours a day for the duration of construction, is conspicuously posted at the job site where such contact information is readily visible from public viewing areas while still protecting public views as much as possible, along with indication that the construction manager should be contacted in the case of questions regarding the construction (in case of both regular inquiries and emergencies). The construction manager shall record the contact information (name, phone number, email, etc.) and nature of all complaints received regarding the construction, and shall investigate complaints and take remedial action, if necessary, within 24 hours of receipt of the complaint or inquiry. Any critical and/or significant complaints and related responses shall be reported to the Executive Director as soon as possible, and all complaints and all actions taken in response shall be summarized and provided to the Executive Director on a weekly basis.	No change in status. General compliance with measure is confirmed. A project Construction Manager has been designated by the City and is present at the site during working hours. Contact information is provided on the City's website and on project signs at the site. A Public Contacts Log is being maintained for all public inquiries and complaints. All complaints and inquiries to date have been addressed and/or responded to as necessary. (MEASURE ACHIEVED)	No change in status. General compliance with measure is confirmed. A project Construction Manager has been designated by the City and is present at the site during working hours. Contact information is provided on the City's website and on project signs at various work sites. A Public Contacts Log is being maintained for all public inquiries and complaints. All complaints and inquiries to date have been addressed and/or responded to as necessary. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Construction Plans	Notification Special Condition 2(k)	The Permittee shall notify planning staff of the Coastal Commission's Central Coast District Office at least 3 working days in advance of commencement of construction, and immediately upon completion of construction.	No change in status. The 3 working day notification was provided. (MEASURE ACHIEVED)	No change in status. The 3 working day notification was provided. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Riparian Enhancement Plan	Riparian Enhancement Plan Special Condition 3	Prior to the operation of the WRF, the Permittee shall submit two copies of a Riparian Enhancement Plan (REP) to the Executive Director for review and approval. The REP shall provide for riparian enhancement within the unnamed creek and riparian area adjacent to the water reclamation facility site...	No change in status. Project Biologist (KMA) completed the Riparian Enhancement Plan (REP). The City submitted REP to Coastal Commission and CDFW. The City has received favorable review comments from the Coastal Commission, but their final approval is pending CDFW's review. CDFW has not responded to the submittal to date.	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Archeological Protection	Archeological Monitoring Special Condition 4	An archaeological monitor qualified by the Native American Heritage Commission shall be present during all ground disturbance (including grading activities), and shall be consulted to provide recommendations for subsequent measures for the protection and disposition of artifacts of historical or cultural significance in the event such artifacts are discovered.	No change in status. General compliance with measure is confirmed. An archaeological monitor was present during all applicable ground disturbance activities and daily logs were created and retained. No future monitoring is anticipated. (MEASURE ACHIEVED)	No change in status. The City has engaged Cogstone Resource Management Inc. for both Archeological and Native American monitors on the project. (MEASURE ACHIEVED). The City retained Far Western Anthropological Research Group for the Phase 2 Monitoring Plan.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Agricultural Mitigation Program	Agricultural Mitigation Program Special Condition 5	Prior to the operation of the WRF, the Permittee shall submit an Agricultural Mitigation Program to the Executive Director for review and approval. The Program shall specify the measures to be taken to mitigate for project agricultural impacts by providing an agricultural conservation easement over agricultural property of a similar quality as the project site, and of a type that is potentially threatened by urban development, at a ratio of at least 2:1 for the loss of agricultural land associated with the approved project (i.e., the easement must cover at least 30 acres of such agricultural land).	No change in status. The required Agricultural Mitigation Program is a future end of project consideration - no applicable activities occurred this reporting period.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.



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California Coastal Commission	Coastal Development Permit 3-19-0463	Recycled Water Management Plan	Recycled Water Management Plan Special Condition 6	Permittee shall submit Recycled Water Management Plan (RWMP). The objective of the RWMP shall be to ensure that the maximum amount of tertiary-treated recycled water is produced, and the maximum amount of such water is used for beneficial reuse purposes, including injected underground in locations that will maximize its ability for groundwater replenishment....	NA -Not applicable to WRF Construction.	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Wastewater Treatment Plant Removal and Restoration Plan	Wastewater Treatment Plant Removal/Restoration Plan Special Condition 7	Prior to operation of the WRF, the Permittee shall submit two copies of a Wastewater Treatment Plant Removal and Restoration Plan to the Executive Director for review and approval. The Plan shall indicate how the existing wastewater treatment plant located at 160 Atascadero Road will be decommissioned and demolished, including through removal of all plant components (e.g., buildings, fences, storage tanks, etc.), and the site restored to a safe and level configuration roughly matching the surrounding areas. The WWTP site shall be restored within one year of WRF and Cayucos CSD operation.	No change in status. The required Existing Wastewater Treatment Plant Removal and Restoration Plan is a future end of project consideration - no applicable activities occurred this reporting period.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Outfall Assessment Plan	Outfall Assessment Plan Special Condition 8	Prior to the commencement of any marine development, including off-shore development on the Ocean Outfall, the permittee shall submit ..... NOT APPLICABLE TO ANY CURRENT PROJECTS	NA -Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Wastewater Service Boundary	Wastewater Service Boundary Special Condition 9	Wastewater service to properties outside of the City's current wastewater service area, per Exhibit 3, shall be prohibited without an amendment to this CDP.	NA -Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Coastal Hazard Risk	Coastal Hazard Risk Special Condition 10	The Permittee acknowledges coastal hazards including pump stations and pipelines in low-lying elevations. The Permittee assumes said risks such that the Coastal Commission is indemnified.	NA -Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Coastal Hazard Response	Coastal Hazard Response Special Condition 11	The Permittee acknowledges and agrees that the project will be constructed and used consistent with the terms and conditions of the CDP for only as long as the project components remain safe for use without additional measures beyond ordinary repair and maintenance as that term is defined in Section 30610(d) of the Coastal Act.	NA -Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Public Rights	Public Rights Special Condition 12	The Permittee acknowledges and agrees that the Coastal Commission's approval of this CDP shall not constitute a waiver of any public rights that may exist on the properties involved.	NA -Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Other Authorizations	Other Authorizations Special Condition 13	The Permittee shall provide documentation of authorizations from the RWQCB, SWRCB, CDFW, CSLC, NMFS, USACE, or provide documentation that such authorization is not required.	No change in status. The City provided documentation of all necessary agency authorizations prior to the start of construction. (MEASURE ACHIEVED)	No change in status. The City provided documentation of necessary agency authorizations prior to the start of construction, except as noted below. Based on miscommunication, the City's SHPO notification and formal authorization to proceed was delayed resulting in a 35-day calendar work stoppage between Tuesday, 2/16/2021, and Monday, 3/22/2021. The City and Contractor are currently working to resolve this contractual compensable delay.	NA - Project is in pre-design phase
California Coastal Commission	Coastal Development Permit 3-19-0463	Minor Changes	Minor Changes Special Condition 14	The Permittee shall undertake development in conformance with the terms and conditions of this CDP, including with respect to all Executive Director-approved plans and other materials, which shall also be enforceable components of this CDP. Any proposed project changes, including in terms of changes to identified requirements in each condition, shall either (a) require a CDP amendment, or (b) if the Executive Director determines that no amendment is legally required, then such changes may be allowed by the Executive Director if such changes: (1) are deemed reasonable and necessary; and (2) do not adversely impact coastal resources.	No change in status. The City has and will continue to adhere with the terms and conditions of this CDP. (MEASURE ACHIEVED)  To date the Coastal Commission has been made aware of one project change, a soil slip (landslide). The City continues to mitigate the soil slip and the resulting potential visual impacts.	No change in status. The City has and will continue to adhere with the terms and conditions of this CDP. (MEASURE ACHIEVED)  To date the Coastal Commission has been made aware of one potential and pending project change at CA-SLO-16, noted elsewhere herein.	NA - Project is in pre-design phase



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California Coastal Commission	Coastal Development Permit 3-19-0463	Future Permitting	Future Permitting Special Condition 15	All future proposed development related to this CDP shall require a new CDP or a CDP amendment.	NA - Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
California Coastal Commission	Coastal Development Permit 3-19-0463	Indemnification	Indemnification Special Condition 16	The Permittee agrees to indemnify the Coastal Commission, including reimbursement of attorney fees.	NA - Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Project.
City of Morro Bay	Design-Build Agreement	Section 3.2.4.3 – Construction Phase Responsibilities	Archeological Discovery	If a discovery is made of items of archaeological interest on site during excavation activities, the Design/Build Entity shall immediately cease excavation in the area of discovery and shall not continue until ordered by the Construction Manager. Design/Build Entity shall cooperate with and provide access to the City's Archaeologist and other monitoring services.	No change in status. There have been no discoveries of archaeological interest on site to date or during the reporting period. Daily logs by the Arch/Paleo monitor are being maintained in the project file.	No change in status. There have been no significant discoveries of archaeological interest on site to date or during the reporting period. Daily logs by the Arch/Paleo monitor are being maintained in the project file.	NA - Project is in pre-design phase
City of Morro Bay	Design-Build Agreement	Section 5.2 - Disadvantaged Business Enterprise Requirements	Disadvantaged Business Enterprise Requirements	The WRF Project is partially funded through the California State Revolving Fund (CASRF) Program for Clean Water. Part of the requirements of CASRF funding is compliance with Disadvantaged Business Enterprise (DBE) Requirements. The requirements and applicable forms are described below and in Exhibit G.	No change in status. DBE requirements are being adhered to, including the submission of Good Faith Effort documentation, DBE Utilization Report, etc. The Annual DBE Utilization Report was submitted as required. (MEASURE ACHIEVED).	No change in status. DBE requirements are being adhered to, including the submission of Good Faith Effort documentation, DBE Utilization Report, etc. The Annual DBE Utilization Report was submitted as required. (MEASURE ACHIEVED).	NA - Project is in pre-design phase
City of Morro Bay	Design-Build Agreement	Section 5.12.2 – Wages and Records	Davis-Bacon Wage Requirements	The Design/Build Entity and each subcontractor shall comply with the Davis-Bacon payrolls and basic records requirements as found in Exhibit H.	No change in status. During the reporting period, Certified Payrolls were submitted by the Design-Build Team and reviewed by the Construction Management consultant. Any irregularities have been, or are being, resolved. (MEASURE ACHIEVED)	No change in status. During the reporting period, Certified Payrolls were submitted by the Design-Build Team and reviewed by the Construction Management consultant. Any irregularities have been, or are being, resolved. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
City of Morro Bay	Design-Build Agreement	Section 5.14 – American Iron and Steel	American Iron and Steel	The Design/Build Entity and all of its subcontractors acknowledge to and for the benefit of the City and the State of California (the "State") it understands the goods and services under this Agreement are being funded with monies made available by the Clean Water State Revolving Fund and/or Drinking Water State Revolving Fund that have statutory requirements commonly known as "American Iron and Steel," that requires all of the iron and steel products used in the Project to be produced in the United States ("American Iron and Steel Requirement"), including iron and steel products provided by the Design/Build Entity and its subcontractors pursuant to this Agreement.	No change in status. During the reporting period, AIS requirements were adhered to, including the submission of required documentation from applicable equipment and material suppliers. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
City of Morro Bay	Design-Build Agreement	Section 3.2.4.2 – Construction Phase Responsibilities	Competitive Bidding (Work)	Competitively bid all work not performed by the Design/Build Entity or its members or the Designated Subcontractors for packages that exceed \$200,000 in anticipated value.	No change in status. The Design-Build Team continues to adhere to the competitive bid requirement for all subcontracted work in excess of \$200K. (MEASURE ACHIEVED). The Construction Manager is monitoring this effort and reviewing documentation provided by the Design-Build Team.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
City of Morro Bay	Design-Build Agreement	Section 3.2.4.5 – Construction Phase Responsibilities	Competitive Bidding (Equipment)	Competitively procure all process equipment packages from the preapproved vendors as identified in, and in accordance with the Scope of Work (Exhibit B).	No change in status. The Design-Build Team continues to adhere to the competitive procurement requirements for process equipment packages from pre-approved vendors listed in Exhibit B. All applicable process equipment vendors have been selected and are proceeding. (MEASURE ACHIEVED). The Construction Manager is monitoring this effort and reviewing documentation provided by the Design-Build Team.	NA - Not applicable to Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	AES-1: Nighttime Construction Lighting.	Lighting used during nighttime construction, including any associated 24-hour well drilling, shall be shielded and pointed away from surrounding light-sensitive land uses.	No change in status. Night work and/or nighttime lighting has been extremely minimal during the reporting period. There have been a few concrete placements that were completed after sunset due to concrete finishing requirements. These events required temporary lighting for approximately 2 to 3 hours each.	No change in status. Night work and/or nighttime lighting has been extremely minimal during the reporting period. There have been a few concrete slurry (CLSM) placements that were completed after sunset due to daytime traffic handling concerns. These events required temporary lighting for approximately 2 to 3 hours each.	NA - Project is in pre-design phase



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State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	AQ-1a: Fugitive Dust Control Measures.	Construction projects shall implement dust control measures so as to reduce PM10 emissions in accordance with SLOAPCD requirements.	No change in status. Design-Build Agreement Section 5.10.1 includes stipulations for Fugitive Dust Control Plan (FDCP) in accordance with the SLO County APCD Regulations. The approved SWPPP includes applicable dust control measures. Dust control is being implemented during construction activities. (MEASURE ACHIEVED)	Dust control measures are being implemented during construction activities as required. Regular street sweeping is occurring on an on-going basis also as required. (MEASURE ACHIEVED). Contract documents include appropriate stipulations for dust control measures, and the Contract work is bound by San Luis Obispo County Air Pollution Control District fugitive duct regulations. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	AQ-1b: Standard Control Measures for Construction Equipment.	Standard mitigation measures for reducing NOx, ROG, and DPM emissions from construction equipment are required.	No change in status. Standard measures for reducing NOx, ROG, and DPM emissions are being implemented as required. (MEASURE ACHIEVED)	No change in status. Standard measures for reducing NOx, ROG, and DPM emissions are being implemented as required.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	AQ-1c: BACT for Construction Equipment.	BACT for diesel-fueled construction equipment shall be implemented during construction activities at the project site, where feasible.	No change in status. BACT guidelines are being implemented where feasible. (MEASURE ACHIEVED)	No change in status. BACT guidelines are being implemented where feasible.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	AQ-1d: Architectural Coatings.	To reduce ROG and NOx emissions during the architectural coating phase, low or no VOC emission paints and finishes shall be used with levels of 50 g/L or less.	No change in status. No architectural coating work occurred during the reporting period. No change in status.	No change in status. No architectural coating work occurred during the reporting period	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-1: Construction Worker Environmental Awareness Training and Education Program.	Prior to the commencement, and for the duration of proposed construction activities, all construction workers shall attend an Environmental Awareness Training and Education Program, developed and presented by the Lead Biologist.	No change in status. All construction workers and administrative staff on site have attended Environmental Awareness Training developed and presented by the project biologist. All new employees on site undergo training upon arrival. Sign-in sheets are being collected and retained for each training session. (MEASURE ACHIEVED)	No change in status. All construction workers and administrative staff on site have attended Environmental Awareness Training developed and presented by the project biologist. All new employees on site undergo training upon arrival. Sign-in sheets are being collected and retained for each training session. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-2: Avoidance and Protection of Biological Resources.	During proposed construction, operations and maintenance, and decommissioning the City and/or contractor shall implement general avoidance and protective measures.	No change in status. General compliance with measure is confirmed. SWPPP BMP measures were installed throughout the site perimeter and laydown area, and inspected by the Project Biologist (KMA) and QSP (Filiac), prior to the start of construction activities on 4/6/2020. (MEASURE ACHIEVED)	No change in status. Contractor is implementing the extensive environmental avoidance and protective measures encompassed in the Conveyance Facilities Contract documents and these Mitigation Measures.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-3: Morro Shoulderband Snail	The following mitigation measures shall be implemented to avoid or minimize impacts to Morro Shoulderband snail (MSS): (1) During project design, if project components would be located in areas with soils and vegetation that could support MSS, then a qualified biologist shall conduct a survey to delineate the extent of potential habitat. The following project components have either been mapped as Baywood fine sands or dunes, or are in areas adjacent to known populations (see Figure 3.4.7): Option 5A lift station; pipeline alignment adjacent to WWTP; portion of the pipeline at Drainage 1A; and the northwest corner of the IPR-West wellfield. (2) At areas adjacent to vegetated areas to support MSS, silt fencing shall be installed, to restrict project activities into these areas and to deter MSS movement. (3) If avoidance of MSS habitat is not feasible, then protocol levels surveys for MSS shall be conducted to determine presence/absence and distribution of MSS. (4) If survey results are negative and a concurrence authorization is granted, then vegetation shall be removed under supervision of the permitted biologist, and the site(s) shall be graded/grubbed down to bare mineral soil, and bordered with silt fence to preclude MSS from subsequently entering the area(s). (5) If live MSS are found within areas proposed for impact, then consultation with USFWS will be necessary. (6) If equipment use, materials stockpiling, lift station construction, or any other uses are proposed on the north side of Atascadero Road opposite the existing WWTP, then all such areas shall have silt fencing to create a barrier between potential MSS habitat. (7) Work crews will undergo an environmental training session conducted by a qualified biologist prior to start of construction activities in or adjacent to MSS habitat areas.	NA - Not applicable to WRF Project.  Morro Bay Shoulderband snails are not present at the WRF Site	No change in status. There was no activity in dune sands or Baywood fine sand during the reporting period. KMA has performed all necessary pre-construction inspections and on-going monitoring. To date only orange construction fencing has been necessary for area delineation, not silt fencing. KMA will also perform all required post rain or dense fog inspections as required.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-4: American Badger.	A pre-construction survey for active badger dens will be conducted within the proposed construction impact footprint and surrounding accessible areas of the mapped annual grassland portions of the eastern pipeline alignment (between the WRF and Downing Street on the west; see Figures 3.4-3 through 3.4-5) and the WRF site at least two weeks prior to any ground disturbing activities. The survey will be conducted by a qualified biologist. In order to avoid potential direct impacts to adults and nursing young, no grading should occur within 50 feet of an active badger den as determined by the project biologist.	No change in status. The approved project biologist has conducted the required Pre-Construction Survey for active badger dens and provided the City documentation of results. There were no active badger dens discovered during the pre-construction survey. (MEASURE ACHIEVED)	No change in status. The approved project biologist has conducted the required Pre-Construction Survey for active badger dens and provided the City documentation of results. There were no active badger dens discovered during the pre-construction survey. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-5: Nesting Birds.	Mitigation measures are recommended to avoid or minimize impacts to nesting bird species, including special-status species and species protected by the Migratory Bird Treaty Act.	Not applicable to the WRF site.	No change in status. The approved project biologist has conducted the required Pre-Construction Survey to avoid or minimize impacts to nesting bird species. KMA has provided the City documentation of results. There were no active nests discovered during the pre-construction survey. (MEASURE ACHIEVED)	NA - Project is in pre-design phase



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State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-6: Riparian Habitat Avoidance	During proposed project design, a qualified biologist shall identify the project boundaries adjacent to Morro Creek and the allowable limits of construction activities to avoid direct and indirect impacts to riparian habitat. Those limits shall be used during proposed project design to identify a pipeline alignment that avoids impacts to riparian habitat as well as areas to be avoided for siting injection and monitoring wells. During construction, the riparian boundaries and limits shall be clearly flagged or fenced so that contractors are aware of the limits of allowable site access and disturbance. Areas to be preserved should be clearly flagged as off-limits to avoid unnecessary damage and potential erosion.	Not applicable to the WRF site.	No change in status. The Project Biologist (KMA), will identify the project boundaries adjacent to Morro Creek, and the allowable limits of construction activities, to avoid direct and indirect impacts to riparian habitat. No work near Morro Creek has occurred to date, and/or is scheduled to occur during the next reporting period.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-7: Trenching Buffer for Jurisdictional Features	During construction of proposed project pipelines, trenching shall stop at least 50 feet away from jurisdictional features, such as the top of stream banks, riparian habitat and wetlands, and the remaining distance shall be installed using trenchless construction methods, such as horizontal directional drilling.	Not applicable to the WRF site.  All work is within site perimeter temporary fencing, and is at least 50 ft from any adjacent creek or drainage channel. (MEASURE ACHIEVED)	The project work is generally buffered from creeks and designated drainage channels by at least 50 ft, except Morro Creek and Willow Camp Creek, where protective measure are included in Contract documents. No applicable work occurred during the reporting period. Preparation for Jack & Bore construction at the Willow Camp Creek area began this period. CDFW has indicated that a Streambed Alteration Agreement is NOT required at the Morro Creek Pipe Utility Bridge crossing.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-8: Construction BMPs to Protect Jurisdictional Features and Aquatic Habitat.	Mitigation measures should be implemented prior to and during construction near Morro Creek and Little Morro Creek, as well as Drainages 1, 1A, 1B, 2, 2A, 2B, 3, 3A, and 3B, and wetlands.	No change in status. This Mitigation Measure is included in Contract documents, and is currently being implemented, for all construction near Drainages 3, 3A, and 3B. SWPPP BMP measures were installed throughout the site perimeter and laydown area, and inspected by the Project Biologist (KMA) and QSP (Filanc), prior to the start of construction activities on 4/6/2020. (MEASURE ACHIEVED)	No change in status. This Mitigation Measure is included in Contract documents, and is currently being implemented, for all construction near Morro Creek and Little Morro Creek, as well as Drainages 1, 1A, 1B, 2, 2A, and 2B. SWPPP BMP measures are always installed at the various work sites and laydown areas prior to the start of work as required. These installations are reviewed by the Project Biologist (KMA) and the project QSP (Anvil) prior to the start of construction activities.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-9: Preparation of a Frac-Out Contingency Plan	A Frac-Out Contingency Plan shall be prepared prior to initiation of construction activities that involve horizontal direction drilling activities. The Frac-Out Plan shall be implemented during HDD construction activities.	There is no horizontal directional drilling (HDD) on the project.  As such, no frac-out plan is required. (MEASURE NOT APPLICABLE)	The project scope includes both Microtunneling, and Jack and Bore installations, but not horizontal directional drilling (HDD). With Microtunneling the "overcut" annulus is continuously lubricated with a low pressure slurry (5 psi). The low pressure clay slurry does not have the threat of frac-out as would be the case with HDD. As such, no frac-out plan is required. (MEASURE NOT APPLICABLE)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	BIO-10: Tree Protection	For public trees, protection will be established at a minimum distance of 1.5 times the dripline (i.e., the distance from the trunk to the outermost limits of leaves and branches). During development, orange construction fencing or sufficient staking to identify the protection area will surround each tree or clusters of trees.	NA - Not applicable to WRF Project.	All feasible efforts are being implemented to protect public trees. The main area of impact is along the Bike Path portion of the project (Sta 29-53). The Service Approved Biologist (KMA) is currently assigned to provide input as to the potential need for additional tree zone protections and/or additional tree removals. Changes to Contract documents were submitted and approved by the Coastal Commission this period. To date, only trees designated for removal by the Coastal Commission have been removed.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-1: Retention of a Qualified Archaeologist.	Within 30 days after the City's approval of the final design plans and prior to start of any ground-disturbing activities (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil), the City shall retain a Qualified Archaeologist meeting the Secretary of the Interior's Professional Qualifications Standards for archaeology (U.S. Department of the Interior, 1983) to carry out all mitigation related to archaeological resources.	No change in status. The City retained Far Western Anthropological Research Group for the Phase 1 Monitoring Plan issued April 2020. The Design-Build Team retained Cogstone Resource Management Inc., on behalf of the City, for cultural resources measures. (MEASURE ACHIEVED)	No change in status. The City has engaged Cogstone Resource Management Inc. for both Archaeological and Native American monitors on the project. The City retained Far Western Anthropological Research Group for the Phase 2 Monitoring Plan. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-2: Pre-Construction Phase I Cultural Resources Survey.	Within 30 days after the City's approval of the final design plans and prior to the start of any ground-disturbing activity (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil), the Qualified Archaeologist shall conduct pre-construction Phase I Cultural Resources Survey of all areas that have not been previously surveyed within the last 5 years.	No change in status. See the Phase 1 Monitoring Plan issued by Far Western Anthropological Research Group in April 2020. (MEASURE ACHIEVED)	No change in status. See the Phase 2 Monitoring Plan issued by Far Western Anthropological Research Group.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-3: Avoidance and Preservation in Place of Archaeological Resources.	The City shall avoid and preserve in place resources CA-SLO-16, -43, -165, -239, -2222, and -2845, and any other resources that are identified as potentially qualifying as historical resources or unique archaeological resources under CEQA, through proposed project re-design. Avoidance and preservation in place is the preferred manner of mitigating impacts to archaeological resources. Preservation in place maintains the important relationship between artifacts and their archaeological context and also serves to avoid conflict with traditional and religious values of groups who may ascribe meaning to the resource. Preservation in place may be accomplished by, but is not limited to, avoidance, incorporating the resource into open space, capping, or deeding the site into a permanent conservation easement. In the event that avoidance and preservation in place of a resource is determined by the City to be infeasible in light of factors such as project design, costs, and other considerations, then CUL-4 shall be implemented for that resource. If avoidance and preservation in place of a resource is determined by the City to be feasible, then CUL-5 shall be implemented for that resource.	NA - Not applicable to WRF Project.	Regarding CA-SLO-2232: Contractor trenched across zone (Sta 147-150) previously. Work was guided by and monitored by Cogstone and Tribal monitors. Monitors sampled/screened excavated soils. Minimal findings were documented.  Regarding CA-SLO-16: No field activities. The City is proposing design revisions to add fill to raise grade through CA-SLO-16, then install pipelines just below added fill to not disturb CA-SLO-16. This requires SHPO approval (currently pending).	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-4: Development of an Archaeological Resources Data Recovery and Treatment Plan.	The Qualified Archaeologist shall prepare an Archaeological Resources Data Recovery and Treatment Plan for all significant resources that will be impacted by the proposed project.	No change in status. See the Phase 1 Monitoring Plan issued by Far Western Anthropological Research Group in April 2020. (MEASURE ACHIEVED)	No change in status. See the Phase 2 Monitoring Plan issued by Far Western Anthropological Research Group.	NA - Project is in pre-design phase



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State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-5: Development of a Cultural Resources Monitoring and Mitigation Program (CRMMP).	Within 60 days of the award of the contractor's bid and prior to the start of any ground-disturbing activity (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil), the Qualified Archaeologist shall prepare a Cultural Resources Mitigation and Monitoring Program (CRMMP) based on the final City-approved project design plans.	No change in status. See the Phase 1 Monitoring Plan issued by Far Western Anthropological Research Group in April 2020. (MEASURE ACHIEVED)	No change in status. See the Phase 2 Monitoring Plan issued by Far Western Anthropological Research Group.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-6: Construction Worker Cultural Resources Sensitivity Training.	Prior to start of any ground-disturbing activities (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil), the Qualified Archaeologist, or his/her designee, and a Native American representative shall conduct cultural resources sensitivity training for all construction personnel.	No change in status. All construction workers and administrative staff on site have attended cultural resources sensitivity training. All new employees on site undergo training upon arrival. Sign-in sheets are being collected and retained for each training session. (MEASURE ACHIEVED)	No change in status. All construction workers and administrative staff on site have attended cultural resources sensitivity training. All new employees on site undergo training upon arrival. Sign-in sheets are being collected and retained for each training session. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-7: Archaeological Resources Monitoring.	All project-related ground disturbance (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil) shall be monitored by an archaeological monitor(s) familiar with the types of resources that could be encountered and shall work under the direct supervisor of the Qualified Archaeologist.	No change in status. Archaeological and Native American monitors were present during all ground disturbance activities to date. Daily logs were being created and retained. Project earthwork activities requiring monitors has been completed as of January 2021. (MEASURE ACHIEVED)	No change in status. Archaeological and Native American monitors have been and continue to be present during all ground disturbance activities during the reporting period. Daily logs are being created and retained. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-8: Native American Monitoring.	The City shall retain a Native American monitor(s) from a Tribe that is culturally and geographically affiliated with the project site (according to the California Native American Heritage Commission). The Native American monitor shall monitor all project-related ground disturbance (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil) and all ground disturbance related to subsurface investigation and data recovery efforts for discovered resources that are Native American in origin.	No change in status. Archaeological and Native American monitors have been present during all ground disturbance activities during the reporting period. Daily logs are being created and retained. Project earthwork activities requiring monitors has been completed as of January 2021. (MEASURE ACHIEVED)	No change in status. Archaeological and Native American monitors have been present during all ground disturbance activities during the reporting period. Daily logs are being created and retained.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-9: Inadvertent Discovery.	In the event archaeological resources are encountered during construction of the proposed project, all activity in the vicinity of the find shall cease (within 100 feet), and the protocols and procedures for discoveries outlined in the CRMMP (see CUL-5) shall be implemented.	No archaeological resources were discovered during the reporting period. (MEASURE ACHIEVED)	No significant archaeological resources were discovered during the reporting period. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-10: Retention of a Qualified Paleontologist.	Within 60 days prior to the start of any ground-disturbing activity (i.e., demolition, pavement removal, pot-holing or auguring, boring, drilling, grubbing, vegetation removal, brush clearance, weed abatement, grading, excavation, trenching, or any other activity that has potential to disturb soil), the City shall retain a paleontologist who meets the (SVP) Standards (SVP, 2010) (Qualified Paleontologist) to carry out all mitigation measures related to paleontological resources.	No change in status. The Design-Build Team retained Cogstone Resource Management Inc. to, on behalf of the City, undertake all mitigation measures related to paleontological resources. (MEASURE ACHIEVED)	No change in status. The City has engaged Cogstone Resource Management Inc. for paleontological monitoring on the project. (MEASURE ACHIEVED). The City retained Far Western Anthropological Research Group for the Phase 2 Monitoring Plan.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-11: Paleontological Resources Sensitivity Training.	The Qualified Paleontologist, or his/her designee, shall conduct construction worker paleontological resources sensitivity training prior to the start of ground disturbing activities. In the event construction crews are phased, additional trainings shall be conducted for new construction personnel. The training session shall focus on the recognition of the types of paleontological resources that could be encountered within the project site and the procedures to be followed if they are found. The City shall ensure construction personnel are made available for and attend the training and retain documentation demonstrating attendance.	No change in status. All construction workers and administrative staff on site have attended paleontological resources sensitivity training. All new employees on site undergo training upon arrival. Sign-in sheets are being collected and retained for each training session. (MEASURE ACHIEVED)	No change in status. All construction workers and administrative staff on site have attended paleontological resources sensitivity training. All new employees on site undergo training upon arrival. Sign-in sheets are being collected and retained for each training session. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-12: Paleontological Resources Monitoring.	All ground disturbance in excess of 5 feet within areas that are mapped as younger alluvial gravel (Qa) and beach and dune sands (Qs) shall be monitored on a full-time basis during initial ground disturbance.	No change in status. Archaeological and Native American monitors were present during all ground disturbance activities during the reporting period. Daily logs are being created and retained. Project earthwork activities requiring monitors has been completed as of January 2021. (MEASURE ACHIEVED)	No change in status. Archaeological/Paleontological and Native American monitors were present during all ground disturbance activities during the reporting period. Daily logs are being created and retained.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-13: Inadvertent Discovery of Fossils.	If construction or other proposed project personnel discover any potential fossils during construction, regardless of the depth of work or location, then work at the discovery location shall cease in a 50-foot radius of the discovery until the Qualified Paleontologist has assessed the discovery and made recommendations as to the appropriate treatment.	No potential fossils were discovered during the reporting period. (MEASURE ACHIEVED)	No potential fossils were discovered during the reporting period. (MEASURE ACHIEVED)	NA - Project is in pre-design phase



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State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	CUL-14: Inadvertent Discovery of Human Remains:	If human remains are encountered, then the City shall halt work in the vicinity (within 100 feet) of the discovery and contact the County Coroner in accordance with PRC section 5097.98 and Health and Safety Code section 7050.5.	No human remains were discovered during the reporting period. (MEASURE ACHIEVED)	No human remains were discovered during the reporting period. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	NOISE-1: Construction Noise Reduction Measures.	The City shall develop and submit a Construction Noise Reduction Plan to the building official prior to initiating construction activities during hours that are not included in the exemption under the Morro Bay Municipal Code. The City or its contractor shall implement the Construction Noise Reduction Plan.	No change in status. The Construction Noise Reduction Plan has not been developed or submitted as it is not anticipated that construction activities will occur during hours outside the MB Municipal Code exemption. (MEASURE ACHIEVED)	No change in status. The Construction Noise Reduction Plan has not been developed or submitted as it is not anticipated that construction activities will occur during hours outside the MB Municipal Code exemption. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	NOISE-2: Operational Noise Reduction Measures	Prior to final design of the proposed injection wells, the City shall prepare an Operational Noise Reduction Plan demonstrating that the proposed injection wells will not expose the nearest sensitive receptor to noise levels that would exceed the City's daytime and nighttime noise standards (see Table 3.11-4). The operational noise reduction plan shall be prepared by a qualified noise consultant. Once all noise reduction measures outlined in the Operational Noise Reduction Plan are implemented, the City shall measure noise at the nearest sensitive receptor property line to validate the effectiveness of the measures and to demonstrate that operational noise levels are below the City's noise standards.	NA - Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	GEO-1: Geotechnical Investigation.	A geotechnical investigation shall be prepared by a certified engineer for all facilities involving substantial ground disturbance or excavation.	The Design-Build Team's Geotechnical Report was prepared and issued by Earth System Pacific on July 1, 2019. No Change in status. (MEASURE ACHIEVED)	The project Geotechnical Report was prepared and issued by Yeh & Associates on April 29, 2020. No Change in status. (MEASURE ACHIEVED)	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	GEO-2: Post-Construction Site Restoration.	After construction of project pipelines, disturbed areas shall be managed to control erosion, including without limitation: repaving areas within roadways, restoring vegetated areas, and regrading surfaces to minimize changes in drainage patterns.	NA - Not applicable to WRF Project.	No change in status. Required construction restoration stipulations are encompassed in the Contract documents. All public and private improvements damaged or disturbed by construction will be restored to pre-existing conditions. No applicable activities occurred this reporting period	NA - Project is in pre-design phase
State Water Resources Control Board	Environmental Impact Report	Summary of Impacts and Mitigation Measures	TRAF-1: Traffic Control Plan.	Prior to the start of construction of project components that would occur within a roadway right-of-way, the City shall require the construction contractor to prepare a Traffic Control Plan. The Traffic Control Plan will show all signage, striping, delineated detours, flagging operations and any other devices that will be used during construction to guide motorists, bicyclists, and pedestrians safely through the construction area and allow for adequate access and circulation to the satisfaction of the City's Public Works Director and Fire and Police Chiefs.	NA - Not applicable to WRF Project. No change in status. There were no project activities located within any street right-of-way during the reporting period. As such, a Traffic Control Plan has not been necessary or submitted.	No change in status. The Contractor is currently, and on an on-going basis, preparing and submitting Traffic Control Plans for the various project work locations in street rights-of-way. The Traffic Control Plans are being reviewed by City Public Works, City Police, and City Fire Department.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	Naturally Occurring Asbestos Permit	Dust Control Requirements	Dust Mitigation Plan	Because the project will disturb more than one acre, a project-specific Dust Mitigation Plan is required. Grading operations must follow the dust mitigation requirements contained in the NOA ATCM.	No change in status. See Submittal #016: Asbestos Dust Air Monitoring Plan and the SLO County APCD approval letter. Grading operations to date have been in compliance with the dust mitigation requirements contained in the NOA ATCM. A final report on the project site NOA has been submitted to SLO County APCD. There was no detectable NOA in any air samples taken on site. (MEASURE ACHIEVED)	No change in status. Dust control measures are being implemented during construction. Street sweeping is occurring on an as-needed basis as required. Contract documents include appropriate stipulations for dust control. Contract work is bound by SLO APCD fugitive dust regulations. (MEASURE ACHIEVED). NOA is not applicable to the Conveyance Facilities project.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	Naturally Occurring Asbestos Permit	Section 5.0 – Air Monitoring Program	Asbestos Dust Air Monitoring	Because of the site's proximity to a sensitive receptor (an assisted-living facility on Teresa Drive), the APCD will require that an Asbestos Dust Air Monitoring Plan be submitted for approval prior to issue of a grading permit. The plan will specify procedures to be followed during construction and grading, including sampling locations/methods/frequency, analytical methods, and allowable thresholds.	No change in status. See Submittal #016: Asbestos Dust Air Monitoring Plan and the SLO County APCD approval letter. (MEASURE ACHIEVED)	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	Naturally Occurring Asbestos Permit	Section 6.2 – Mitigation Measures	Dust Mitigation Plan	At all times during construction, the CP will be present to ensure that the mitigations measures described in this section are properly carried out. The CP will monitor the implementation of the measures to minimize dust complaints and prevent visible emissions crossing the Project Boundary. Construction will take place during daylight hours between 7:00 AM and 7:00 PM. Mitigation measures were developed to address dust control during construction activities, as well as for post-construction maintenance of disturbed areas. Throughout construction, the amount of area disturbed shall be minimized to the extent practical. Per the Asbestos ATCM, the following sections outline the required dust mitigation practices (CARB, 2015): - Track-Out Prevention and Control Measures - Active Storage Piles - Disturbed Surface Area and Stockpiles that will Remain Inactive for more than Seven Days - Traffic On-Site on Unpaved Roads, Parking Lots, and Staging Areas - Earthmoving Activities - Off-Site Transport - Post-Construction Stabilization of Disturbed Areas	No change in status. The Design-Build Team has assigned a Competent Person. Project work related to NOA exposure was monitored by the project Geotech firm (Earth Systems Pacific) and project NOA consultant (Padre Associates). The risk of NOA exposure is diminished as applicable earthwork has been completed. (MEASURE ACHIEVED)	No change in status. The Contractor has been asked to assign a dust control Competent Person. NOA is not applicable to the Conveyance Facilities project.	NA - Project is in pre-design phase



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San Luis Obispo County Air Pollution Control District	Naturally Occurring Asbestos Permit	Decontamination	Dust Mitigation Plan	Equipment and trucks that come into contact with NOA-containing soil will be cleaned before leaving the Project site. Cleaning shall take within the Project boundaries, so that NOA soil remains on-site.	No change in status. All heavy equipment and/or trucks that access areas that may contain NOA are off-road vehicles that do not leave site. (MEASURE ACHIEVED)	NOA is not applicable to the Conveyance Facilities project.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	Naturally Occurring Asbestos Permit	Signage/Notifications	Dust Mitigation Plan	Cal-OSHA and CARB regulations require signage and postings at job sites where NOA is, or may be, disturbed. Warning signs will be posted at the main entrances to the project for the duration of soil disturbance activities, and residents within the area will be notified by mail of the soil disturbance.	No change in status. NOA warning signs were posted at the main entrances to the project and remained in place for the duration of soil disturbance activities. Area residents were notified as required. (MEASURE ACHIEVED)	NOA is not applicable to the Conveyance Facilities project.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	Naturally Occurring Asbestos Permit	Documentation	Dust Mitigation Plan	Documentation of earthwork activities will be maintained by the Competent Person under the direct supervision of the Geotechnical Engineer of Record. Documentation records will be maintained by the Project Owner/Operator for a minimum of seven (7) years following the completion of the Project, and will be made available for inspection upon request by the SLOAPCD.	No change in status. The Design-Build Team has assigned a Competent Person. The project work related to NOA is being monitored by the project Geotechnical Engineering firm (Earth Systems Pacific) and the NOA consultant (Padre Associates). Applicable records are being maintained as required. (MEASURE ACHIEVED)	NOA is not applicable to the Conveyance Facilities project.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	Emergency Standby Generator(s)	Permit to Construct and Permit to Operate	Permit to Construct and Permit to Operate	The City shall submit SLOAPCD Diesel Engine Permit Application for project Emergency Standby Generator(s). The City shall obtain required SLOAPCD Permit to Construct and Permit to Operate as required.	No change in status. The City has submitted to SLOAPCD, the Diesel Engine Permit Application for project Emergency Standby Generator. The City has obtained the required Permit to Construct. (MEASURE ACHIEVED)  The Permit to Operate is pending installation and commissioning of the equipment.	The City/Contractor has submitted to SLOAPCD, the Diesel Engine Permit Application for project Emergency Standby Generators, for both (2 each) Generators at Pump Station A and pump Station B. On Sept. 24 2021, the City received Notice of Incomplete Application from APCD. As of the end of the reporting period the City was reviewing the Notice and making plans to respond. Additional "Health Risk Assessments" will be required at each pump station at a minimum.	NA - Project is in pre-design phase
San Luis Obispo County Air Pollution Control District	General Permit incl. Odor Control Facilities	Permit to Construct and Permit to Operate	Permit to Construct and Permit to Operate	The City shall submit SLOAPCD General Facility Permit Application for project site (et-al) including odor control facilities. The City shall obtain required SLOAPCD Permit to Construct and Permit to Operate as required.	The City has submitted the SLOAPCD General Facility Permit Application for the project, which includes the project's odor control system. The Permit to Construct application is currently under review by APCD.  The Permit to Operate is pending installation and commissioning of the odor control equipment.	The City/Contractor has submitted to SLOAPCD, the Diesel Engine Permit Application for project Emergency Standby Generators, for both (2 each) Generators at Pump Station A and pump Station B. On Sept. 24 2021, the City received Notice of Incomplete Application from APCD. As of the end of the reporting period the City was reviewing the Notice and making plans to respond. Additional "Health Risk Assessments" will be required at each pump station at a minimum.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 1 Monitoring Plan	Determining Activities Requiring Monitoring	PHASE 1 - WRF PROJECT Extent of Monitoring	..... Only the initial three feet of topsoil removal in these areas will need to be monitored archaeologically. Once grading is complete, all subsequent construction work on site will either be within artificial fill or truncated bedrock and therefore archaeological and Native American monitoring will not be warranted. The archaeological monitor, in consultation with the archaeological Principal Investigator, the City's Project Manager, and the Construction Manager, will determine when monitoring is no longer necessary.	No change in status. Archaeological and Native American monitors have been present during all ground disturbance activities during the reporting period. Daily logs are being created and retained.  Project earthwork activities requiring monitors has been completed as of January 2021. (MEASURE ACHIEVED)	No change in status. Archaeological and Native American monitors have been present during all ground disturbance activities during the reporting period. Daily logs are being created and retained.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 2 Monitoring Plan	Determining Activities Requiring Monitoring	PHASE 2 - PIPELINE AND PUMP STATION PROJECT Extent of Monitoring	- Pipeline Station 27 to 37 ... CA SLO-16 ... HA1-6, C20-21, C45-50 ... Intact site deposit in HA1-3, C21, C47-48 and C50; disturbed site deposit in C46 and C49 ... Eligible for National Register - Pipeline Station 22 to 24 ... CA SLO-16 ... C5-C7 ... Thin layer of dense redeposited shell midden in C5 and C7 ... Not eligible for National Register due to lack of integrity. - Pipeline Station 53 to 61 ... CA SLO-239 ... C26-27, 51-54 ... Disturbed site deposit in C26-27, likely originating from SLO-239 ... Not eligible for National Register due to lack of integrity. - Replacement Portion of LS2 Force Main ... CA SLO-239 ... No, due to existing pipeline ... Archaeological construction monitoring - New Addition to LS2 Force Main ... CA SLO-239 ... Trenching or coring after property acquired by City ... Likely will require archaeological construction monitoring - Pipeline Station 147 to 150 ... CA SLO-2232H ... HA20-22 Possible sparse intact Native American site deposit in HA20 ... Not eligible for National Register.	NA -Not applicable to WRF Project.	Regarding CA-SLO-2232: Contractor trenched across zone (Sta 147-150) during reporting period. Work was monitored by Cogstone and Tribal monitors. Minimal findings were documented in monitor daily logs.  Regarding CA-SLO-16: No field activities. The City is proposing design revisions to add fill to raise grade through CA-SLO-16, then install pipelines just below added fill to not disturb CA-SLO-16. SHPO approval is pending.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 3/4 Monitoring Plan (FUTURE)	Determining Activities Requiring Monitoring	PHASE 3/4 - RECYCLED WATER AND EXISTING TREATMENT PLANT PROJECTS Extent of Monitoring	PHASE 3 - INJECTION WELLS PROJECT: Project is in pre-design Phase PHASE 4 - EXISTING TREATMENT PLANT DEMOLITION: Project is in pre-design Phase	NA -Not applicable to WRF Project.	NA - Not applicable to Conveyance Facilities Project.	NA - Project is in pre-design phase
California State Historic Preservation Office	October 2019 Programmatic Agreement	Section 1.B City Roles and Responsibilities	Staff Professional Qualifications	City will ensure that all historic preservation and archaeological work is performed by, or under the direct supervision of, a person or persons who meet, at a minimum, the Secretary of the Interior's Professional Qualifications Standards (48 Federal Register 44738-44739) (Appendix A to 36 CFR §61) in the relevant field of study, as described under the Administrative Provisions of this Agreement. Hereinafter, such persons will be referred to as Qualified Professionals.	No change in status. The City retained Far Western Anthropological Research Group for the Phase 1 Monitoring Plan issued April 2020. The Design-Build Team retained Cogstone Resource Management Inc., on behalf of the City, for cultural resources measures. (MEASURE ACHIEVED)	No change in status. The City has engaged Cogstone Resource Management Inc. for paleontological monitoring on the project. (MEASURE ACHIEVED). The City retained Far Western Anthropological Research Group for the Phase 2 Monitoring Plan.	NA - Project is in pre-design phase



# WATER RECLAMATION FACILITY ENVIRONMENTAL/REGULATORY COMPLIANCE SUMMARY

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California State Historic Preservation Office	Phase 1 and Phase 2 Monitoring Plans	Construction Crew Archeological Awareness Training	Archeological Awareness Training	Prior to any soil-disturbing construction activities, the archeological monitor will conduct a five- to 10-minute oral archeological awareness training for the construction crew, including all equipment operators and personnel involved in the mass excavation activities. The Native American monitor will also likely offer comments on their concerns.	No change in status. All construction workers and administrative staff on site have attended archeological awareness training. All new employees undergo training upon arrival. Sign-in sheets are being collected and retained for each training session.	No change in status. All construction workers and administrative staff on site have attended archeological awareness training. All new employees undergo training upon arrival. Sign-in sheets are being collected and retained for each training session.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 1 Monitoring Plan WRF	Reporting	Extent of Monitoring	The archaeological Principal Investigator will submit weekly status reports to the City detailing monitoring activities and any discoveries. The weekly status reports will include both archaeological and Native American daily monitoring logs, photos, and maps (as appropriate).	No change in status. Both archaeological and Native American daily monitoring logs were created and are being retained in the project's web based Project Management system. The City's Construction Manager has access to the monitoring logs. Project earthwork activities requiring monitors were completed as of January 2021. (MEASURE ACHIEVED)	No change in status. Both Archaeological and Native American daily monitoring logs are being created and maintained in the project filing system.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 1 Monitoring Plan WRF	Scheduling	Extent of Monitoring	If there are no findings, an Archeological Resources Monitoring Report for Construction Phase 1 will be prepared and submitted to the City for review within 30 days of completion of monitoring activities.	There were no findings during the reporting period. The required Final Monitoring Report has been prepared and submitted to the City and to Central Coast Information Center, Department of Anthropology, UCSB. See Submittal 257.1. Project earthwork activities requiring monitors were completed as of January 2021. (MEASURE ACHIEVED)	The Final Monitoring Report will be prepared and submitted to the City and Central Coast Information Center, Department of Anthropology, UCSB, upon completion of monitoring activities.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 1 Monitoring Plan WRF	Archeological Monitoring Guidelines	Construction Monitoring	The Archaeological and Native American Monitors will observe soil disturbance during construction activities (e.g., manual or machine excavations, grading). The Archaeological monitor will observe consistency or changes in soils or may examine specific materials that may be cultural in origin.	No change in status. Archaeological and Native American monitors were present during all applicable ground disturbance activities on the project. Daily logs are being created and retained. Project earthwork activities requiring monitors were completed as of January 2021. (MEASURE ACHIEVED)	No change in status. Archaeological and Native American monitors have been present during all ground disturbance activities during the reporting period. Daily logs are being created and retained.	NA - Project is in pre-design phase
California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Reporting	Extent of Monitoring	The archaeological Principal Investigator will submit weekly status reports to the City detailing monitoring activities and any discoveries. The weekly status reports will include both archaeological and Native American daily monitoring logs, photos, and maps (as appropriate). If no archaeological materials are identified during construction monitoring, an Archeological Resources Monitoring Report will be prepared and submitted to the City for review within 30 days of completion of monitoring activities. In accordance with Stipulation VI of the Programmatic Agreement, the City will provide the report to the EPA for review, who will in turn submit it to all Parties of the Agreement. The final Monitoring Report will be submitted to all Parties of the Agreement and to the Central Coast Information Center at the University of California, Santa Barbara. If archaeological remains are identified during monitoring and cannot be avoided, they will be evaluated and mitigated (if warranted) in accordance with the Archeological Research Design and Treatment Plan (Kaijankoski et al. 2019:Appendix E).	NA -Not applicable to WRF Project.	No change in status. Both Archaeological and Native American daily monitoring logs are being created and retained in the project's cloud-based file management system.	NA - Not applicable to Recycled Water Facilities Project.
California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Scheduling	Extent of Monitoring	An archaeological monitor and Native American monitor shall be present according to a schedule agreed upon by the archaeological Principal Investigator and City Project Manager prior to the beginning of construction. The archaeological Principal Investigator will review all anticipated soil disturbing activities with the construction contractor to determine which could potentially expose archaeological deposits and when these activities will be taking place. A tentative schedule will be prepared for monitoring, with the understanding that it is flexible depending on construction progress and findings.	NA -Not applicable to WRF Project.	No change in status. Archaeological and Native American monitors have been present during all ground disturbance activities during the reporting period. Daily logs are being created and retained.	NA - Not applicable to Recycled Water Facilities Project.
California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Archeological Monitoring Guidelines	Construction Monitoring	<ol style="list-style-type: none"> <li>The archaeological Principal Investigator and archaeological monitor(s) will meet the Secretary of Interior's professional qualification standards for prehistoric archeology.</li> <li>An Archaeological monitor will be present for all ground-disturbing activities in the pipeline segments and components where archaeological monitoring is recommended.</li> <li>Local Native American community will request to monitor all Construction Phase 2 ground disturbance. A local archaeologist will assess discovery made by the Native American monitor.</li> <li>The need for more than one archaeological and Native American monitors may be necessary if work is being conducted in a variety of locations.</li> <li>The City Project Manager will provide the construction schedule (location, day, time, and nature of work) to the archaeological and Native American monitors.</li> <li>The archaeological monitor(s) will have the experience and demonstrated ability to recognize all types of archaeological materials and features.</li> <li>Native American monitors should be from groups listed on the Native American Heritage Commission list of interested individuals.</li> <li>Should the need arise to record or collect samples and artifacts, the archaeological monitor shall immediately consult with the archaeological Principal Investigator.</li> <li>The archaeological and Native American monitors will document monitoring activities in a daily log. .... 10. Monitors will take periodic digital photographs.</li> </ol>	NA -Not applicable to WRF Project.	No change in status. The City has engaged Cogstone Resource Management Inc. for archeological and paleontological monitoring on the project. Cogstone has retained multiple local Tribal groups from the area and is sharing the monitoring duties equally amongst the groups. The City retained Far Western Anthropological Research Group for the Phase 2 Monitoring Plan.	NA - Not applicable to Recycled Water Facilities Project.
California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Section IV. TESTING RESULTS AND RECOMMENDATIONS	CA-SLO-16 MITIGATION PLAN	Mitigation of project impacts to site SLO-16 under both Section 106 of the National Historic Preservation Act and the California Environmental Quality Act will be required as the site can be considered significant and avoidance not feasible. All work will be conducted in accordance with the project's archaeological treatment plan (Kaijankoski et al. 2019) and needs to be approved by Caltrans within their right-of-way. A Native American monitor will be present to observe all archaeological excavations. Methods and extent of excavation will ultimately be determined once the deposits are exposed during construction excavation and initial hand excavations. Mitigation will require extensive support and collaboration from the project construction contractor who will need to secure the area and provide mechanical excavation equipment, operators, and support equipment. A location for deep reburial of human remains that may be encountered should be considered prior to construction, although ultimately the Most Likely Descendent will need to approve of this. Uncollected archaeological deposits will need to be permanently reburied on-site in accordance with the wishes of local Native American groups. Portions of the site not impacted by the project should be designated Environmentally Sensitive Areas with orange fencing. A short mitigation work plan can then be prepared and submitted to all interested parties for review.	NA -Not applicable to WRF Project.	Regarding CA-SLO-16: No field activities. The City is proposing design revisions to add fill to raise grade through CA-SLO-16, then install pipelines just below added fill to not disturb CA-SLO-16. SHPO approval is pending.	NA - Not applicable to Recycled Water Facilities Project.
California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Section IV. TESTING RESULTS AND RECOMMENDATIONS	CA-SLO-239 (STATION 53 TO 61)	Cores C26 and C27 both contained a small amount of disturbed archaeological deposits. This material almost certainly originated from site SLO-239 located on the higher terrace to the south. Additionally, adjacent Cores S1-S4 contained trace amounts of disturbed shellfish. Therefore archaeological construction monitoring is recommended along the boundary of site SLO-239 between stations 53 to 61. Additional Testing Required: A recent addition to the LS-2 force main measures approximately 300 meters near SLO-239. The area also has an elevated buried site sensitivity. This project component could not be tested as it lies on private property with no permission to access. The City is currently acquiring the property through eminent domain. In accordance with the Programmatic Agreement, the component will be tested once access is secured. This would involve approximately 12 trenches or cores spaced at 25-meter intervals over a two day period. If disturbed deposits associated with SLO-239 are identified, monitoring for human remains will be recommended and an addendum to this test report prepared. If intact archaeological deposits are identified, they will be immediately evaluated and mitigated in accordance with the Treatment Plan and documented in the final report.	NA -Not applicable to WRF Project.	No applicable Contract work has occurred to date, and/or is scheduled during the next reporting period, within the subject area, or areas.	NA - Not applicable to Recycled Water Facilities Project.



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California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Section IV. TESTING RESULTS AND RECOMMENDATIONS	CA-SLO-2022 (STATION 138 TO 143)	Testing was conducted between Stations 138 and 143 due to the presence of site SLO-2022 that is visible in the roadcut immediately northeast of the ADI. Quintana Road is cut into the hillside that this site is situated upon as it descends in elevation to South Bay Blvd. Additionally, this area has the lowest buried site sensitivity due to the ancient age of the surface landform. Thirteen hand augers (HA7-19) were excavated split evenly between each side of the road adjacent to the ADI. Results were all negative despite processing samples from most augers (see Table 3). Therefore, no archaeological construction monitoring or mitigation is recommended for this segment. However, it is recommended that site SLO-2022 be designated an Environmentally Sensitive Area and be protected during construction with orange fencing or other measures.	NA - Not applicable to WRF Project.	NA - No action necessary under the Conveyance Facilities Project.	NA - Not applicable to Recycled Water Facilities Project.
California State Historic Preservation Office	Phase 2 Monitoring Plan PIPELINES AND PUMP STATIONS	Section IV. TESTING RESULTS AND RECOMMENDATIONS	CA-SLO-2232H (Station 147 TO 150)	Stations 147 to 150 are adjacent to site SLO-2232H, where a prehistoric component was reported to have been recently discovered during construction of a housing complex to the south. After testing for this project was complete, communications with the archaeologist overseeing the housing complex work revealed that the prehistoric deposit (including human remains) encountered is in fact associated with site SLO-1183 and located more than 100 meters (330 feet) south of the ADI. Access constraints (numerous underground utilities) only allowed for three hand augers (HA20-22) to be excavated along the south side of the road. HA21 and HA22 were negative, while a possible sparse prehistoric site deposit was identified in HA20. It is possible that the materials recovered in HA20 originated from site SLO-2022 and were pushed downhill when Quintana Road was cut through the site. This very sparse deposit of uncertain integrity is recommended not eligible for the National Register. However, archaeological construction monitoring for human remains is warranted for this pipeline segment.	NA - Not applicable to WRF Project.	Regarding CA-SLO-2232: Contractor trenched across zone (Sta 147-150) during reporting period. Work was guided by and monitored by Cogstone and Tribal monitors. Monitors sampled/screened excavated soils. Minimal findings were documented in monitor daily logs.	NA - Not applicable to Recycled Water Facilities Project.
California State Historic Preservation Office	Programmatic Agreement	Section IX – Annual Reporting	Annual Reporting	In addition to the final reports described within this Stipulation, EPA shall provide the Parties to this Agreement an annual update on the implementation of this Agreement. Such update shall include any scheduling changes proposed, any problems encountered, failures to adopt proposed mitigation measures, and any disputes and objections received in EPA's efforts to carry out the terms of this Agreement. The update will be due no later than December 31 of each year, beginning December 31, 2019 and will continue annually thereafter throughout the duration of this Agreement.	No change in status. The 2020 Annual Report/Update was issued by EPA to SHPO for the period ending December 31, 2020 as required. The City and City's Program Manager provided data and information for the report. (MEASURE ACHIEVED). The 2021 Annual Report/Update is due to USEPA by December 31, 2021.	No change in status. The 2020 Annual Report/Update was issued by EPA to SHPO for the period ending December 31, 2020 as required. The City and City's Program Manager provided data and information for the report. (MEASURE ACHIEVED). The 2021 Annual Report/Update is due to USEPA by December 31, 2021.	NA - Project is in pre-design phase
Central Coast Regional Water Quality Control Board	Stormwater Pollution Prevention Plan	Section 1.6 - Required Non-Compliance Reporting	Reporting Requirements	If a discharge violation occurs the QSP shall immediately notify the LRP and the LRP shall file a violation report electronically to the Regional Water Board within 30 days of identification of non-compliance using SMARTS. Corrective measures will be implemented immediately following the discharge or written notice of non-compliance from the Regional Water Board.	There were no recordable rain events or, reportable discharge violations from the site, during the reporting period.	No change in status. On April 6, 2021, there were separate incidents involving a ruptured water main and a ruptured sewer force main, at separate locations along Quintana Rd. Both incidents were caused by Contractor errors and resulted in non-stormwater discharges to storm drainage systems or water ways. The City and Contractor immediately implemented emergency spill containment and clean-up measures. The incidents were reported to appropriate regulatory agencies as required.	NA - Project is in pre-design phase
Central Coast Regional Water Quality Control Board	Stormwater Pollution Prevention Plan	Section 1.7 – Annual Report	Reporting Requirements	The General Permit requires that permittees prepare, certify, and electronically submit an Annual Report no later than September 1st of each year. Reporting requirements are identified in Section XVI of the General Permit.	The Annual Report was prepared by Contractor's QSP and submitted prior to September 1, 2021, via SMARTS as required. (MEASURE ACHIEVED) The next SWPPP Annual Report will be submitted as required in the forthcoming reporting period, and no later than September 1, 2022.	The Annual Report was prepared by Contractor's QSP and submitted prior to September 1, 2021, via SMARTS as required. (MEASURE ACHIEVED) The next SWPPP Annual Report will be submitted as required in the forthcoming reporting period, and no later than September 1, 2022.	NA - Project is in pre-design phase
Central Coast Regional Water Quality Control Board	Stormwater Pollution Prevention Plan	Section 3.2 – Erosion and Sediment Control	Control Measures	Erosion and sediment controls are required by the General Permit to provide effective reduction or elimination of sediment related pollutants in stormwater discharges and authorized non-stormwater discharges from the Site.	No change in status. SWPPP BMPs were installed and approved by the project QSP prior to the start of work. The required site perimeter BMPs continued to be monitored and maintained during the reporting period. (MEASURE ACHIEVED)	No change in status. SWPPP BMPs are being installed in active work zones and approved by the Contractor's QSP prior to the start of work. The required BMPs continue to be monitored and maintained during the reporting period.	NA - Project is in pre-design phase
Central Coast Regional Water Quality Control Board	Stormwater Pollution Prevention Plan	Section 3.3 – Non-Stormwater Controls and Waste and Materials Management	Control Measures	Non-stormwater discharges into storm drainage systems or waterways, which are not authorized under the General Permit, are prohibited.	There were no known non-stormwater discharges into storm drainage systems or waterways during the reporting period. (MEASURE ACHIEVED)	On April 6, 2021, there were separate incidents involving a ruptured water main and a ruptured sewer force main, at separate locations along Quintana Rd. Both incidents were caused by Contractor errors and resulted in non-stormwater discharges to storm drainage systems or water ways. The City and Contractor immediately implemented emergency spill containment and clean-up measures. The incidents were reported to appropriate regulatory agencies as required.	NA - Project is in pre-design phase