

# PLANNING OR DESIGN FINANCIAL ASSISTANCE APPLICATION

I. APPLICANT INFORMATION			
Applicant (Entity) Name: City of Morro Bay			
Entity Type: <input checked="" type="checkbox"/> Public - Local <input type="checkbox"/> Public - State <input type="checkbox"/> Indian Tribe <input type="checkbox"/> Nonprofit <input type="checkbox"/> Other: Specify _____			
Charter City/County: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Street Address: 955 Shasta Ave	City: Morro Bay	State: CA	Zip+4 Code: 93442
Mailing Address: 955 Shasta Ave	City: Morro Bay	State: CA	Zip+4 Code: 93442
Congressional District(s): 24th			
State Senate District(s): 17th			
State Assembly District(s): 35th			
County (or Counties): San Luis Obispo			
Regional Water Board: <input type="checkbox"/> 1 (North Coast) <input type="checkbox"/> 2 (San Francisco Bay) <input checked="" type="checkbox"/> 3 (Central Coast) <input type="checkbox"/> 4 (Los Angeles)			
<input type="checkbox"/> 5 (Central Valley) <input type="checkbox"/> 6 (Lahontan) <input type="checkbox"/> 7 (Colorado River) <input type="checkbox"/> 8 (Santa Ana) <input type="checkbox"/> 9 (San Diego)			
Federal ID No.: 95-2308629		Data Universal Numbering System (DUNS) No.: 044400455	
Authorized Representative Name, Title: Rob Livick, Public Works Director/City Engineer			
Phone No.: (805)772-6261		Email Address: RLivick@morro-bay.ca.us	
General Contact Person Name: Rachel Lather, Senior Project Manager			
Phone No.: (831)588-1023		Email Address: rachel@kestrel-inc.com	
Financial Contact Person Name: Susan Slayton, Administrative Services Director/City Treasurer			
Phone No.: (805)772-6201		Email Address: sslayton@morro-bay.ca.us	
Legal Counsel Name: Joseph W. Pannone			
Phone No.: (805)772-6568		Email Address: jpannone@morro-bay.ca.us	
Bond Counsel Name (if applicable):			
Phone No.: (    )		Email Address:	
II. PROJECT INFORMATION			
Project Title: Water Reclamation Facility Project - Phase I			
CWSRF Planning/Design Financing Amount Requested: \$ 10,300,000			
III. PROJECT SERVICE AREA DEMOGRAPHICS			
<b>Active Service Connections</b>			<input type="checkbox"/> <b>Not Applicable</b>
Connection Type	Number of Connections	Current Monthly Service Charge	Projected Monthly Service Charge at Planning Completion*
Residential	5468	\$ 52.85	\$79.19
Commercial	493	\$ 245.44	\$354.97
Industrial		\$	\$
Other		\$	\$
TOTAL	5961	\$	\$
*Rate increase effective date for projected monthly service charges: July 1, 2019			
			State Use Only
			CWSRF Project #
			Project Manager
			Date Received

Date of the most recent Proposition 218 public hearing: March 24, 2015

Current Year Median Household Income: \$ 49,470      Current Year Estimated Population Served: 10,544

Are less than 50% of residences permanently occupied?     Yes     No

**IV. REGULATORY INFORMATION**

NPDES Permit and/or WDR Order No.: 0047881

Has enforcement action occurred as a result of the water quality problem?     Yes     No

**V. COMPLIANCE WITH URBAN WATER MANAGEMENT AND WATER RIGHTS REQUIREMENTS**

Are you an Urban Water Supplier\*?     Yes     No

• If yes, have you submitted an Urban Water Management Plan to the Department of Water Resources?     Yes     No

\*An Urban Water Supplier provides water for municipal purposes either directly or indirectly to more than 3,000 customers or supplies more than 3,000 acre-feet of water annually. The Urban Water Management Planning Act, Water Code, Section 10631.5, requires every urban water supplier to prepare and adopt an Urban Water Management Plan that includes specific elements.

Is your entity a water diverter and subject to section 5103 of the Water Code?     Yes     No

**VI. DISCUSSION OF MATERIAL EVENTS, MATERIAL OBLIGATION CONDITIONS, AND ANY DEBT LIMIT**

Identify any current, prior or pending material events such as bankruptcy, defaults, litigation, grant jury findings, unscheduled draws on reserve funds, substitution of insurers or their failure to perform, unscheduled draws on credit enhancements, actions taken in anticipation of filing Chapter 9, rating changes, relevant conditions in material obligations, and any local debt limit.

The City does not have any current, prior, or pending debt or material events relevant to this discussion.

**VII. ATTACHMENTS**

- 1 – Plan of Study
- 2 – Certification for Compliance with Water Metering Form
- 3 – Regional Water Quality Control Board Requirements
- 4 – Authorizing Resolution/Ordinance
- 5 - Relevant Service, Management, Operating or Joint Powers Agreements
- 6 - Audited Financial Statements
- 7 – Rate Adoption Resolution/Ordinance

The following attachments are not required for Small Disadvantaged Communities applying for 100% grant:

- 8 – Pledged Revenues and Fund(s) Resolution/Ordinance
- 9 – Related Debt

**CERTIFICATION AND SIGNATURE OF AUTHORIZED REPRESENTATIVE**

To the best of my knowledge and belief, I certify that I am authorized to submit this application; the information provided in this application is true and correct; the documentation has been duly authorized by the governing body of the applicant; and the entity possesses the legal authority to apply for the financing and enter into a financing agreement with the State Water Resources Control Board and to finance and construct the proposed facilities.

Name of Authorized Representative: Rob Livick      Title: Public Works Director/City Engineer

Signature of Authorized Representative:       Date: 12-11-2015

